

Guidelines PEFC Council Minimum Requirements Checklist	Revised on 26 th May 2011	GL 2/2011
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PEFC Council Minimum Requirements Checklist

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OBJECTIVE

The objectives of this guideline are (i) to assist bodies, which develop or revise their forest certification schemes and preparation of an application for PEFC Council endorsement, and (ii) to facilitate the assessment of the compliance of a national or sub-national forest certification scheme against the PEFC Council requirements carried out by the PEFC Council as a part of its endorsement and mutual recognition process (*Annex 7 Endorsement and Mutual Recognition of National Schemes and their Revision*).

SCOPE

These guidelines cover the PEFC Council minimum requirements, which shall be met by national and sub-national schemes and standards applying for PEFC Council endorsement and mutual recognition as per chapter 4, Annex 7 (*Endorsement and Mutual Recognition of National Schemes and their Revision*), and are a part of the application for the PEFC Council endorsement and mutual recognition as defined by chapter 5, Annex 7 (*Endorsement and Mutual Recognition of National Schemes and their Revision*).

These guidelines were adopted by the PEFC Council Board of Directors on 2 April 2003 and revised on 27 January 2005, 28 April 2005, 26 January 2006 26 January 2007, 31 January 2008 4 February 2010 and 26 May 2011.

PART I: MINIMUM REQUIREMENTS CHECKLIST FOR STANDARD SETTING (PEFC ST 1001:2010)

1 SCOPE

Part I covers the requirements for standard setting defined in PEFC ST 1001:2010, *Standard Setting – Requirements*.

2 CHECKLIST

Question	Assess. basis*	YES /NO*	Reference to application documents
Standardising Body			
4.1 The standardising body shall have written procedures for standard-setting activities describing:			
a) its status and structure, including a body responsible for consensus building (see 4.4) and for formal adoption of the standard (see 5.11),	Procedures	yes	PEFC B 2001:2012. Chap 3 Body responsible for cb= Forum 3.2. Body responsible for formal adoption = EGA 3.1.1
b) the record-keeping procedures,	Procedures	yes	PEFC B 2001:2012 3.1.3 + 5
c) the procedures for balanced representation of stakeholders,	Procedures	yes	PEFC B 2001:2012 3.1.2.
d) the standard-setting process,	Procedures	yes	PEFC B 2001:2012 - 4.
e) the mechanism for reaching consensus, and	Procedures	yes	PEFC B 2001:2012 - 3.2.3.
f) revision of standards/normative documents.	Procedures	yes	PEFC B 2001:2012 - 7.
4.2 The standardising body shall make its standard-setting procedures publicly available and shall regularly review its standard-setting procedures including consideration of comments from stakeholders.	Procedures	yes	PEFC B 2001:2012 3.1. + 5.
	Process	Yes	Development Report Chap 6.
4.3 The standardising body shall keep records relating to the standard-setting process providing evidence of	Procedures	yes	PEFC B 2001:2012 - 5.

Question	Assess. basis*	YES /NO*	Reference to application documents
compliance with the requirements of this document and the standardising body's own procedures. The records shall be kept for a minimum of five years and shall be available to interested parties upon request.	Process	Yes	Development report Chap 6 & 11
4.4 The standardising body shall establish a permanent or temporary working group/committee responsible for standard-setting activities.	Procedures	Yes	PEFC B 2001:2012 - 3.2.1.
	Process	Yes	Development report Chap 3.2.1.
4.4 The working group/committee shall:			
a) be accessible to materially and directly affected stakeholders,	Procedures	Yes	PEFC B 2001:2012 3.2.2.
	Process	Yes	Development report Chap 3.2.2.
b) have balanced representation and decision-making by stakeholder categories relevant to the subject matter and geographical scope of the standard where single concerned interests shall not dominate nor be dominated in the process, and	Procedures	Yes	PEFC B 2001:2012 3.2.2.
	Process	Yes	Development report Chap 3.2.2.
c) include stakeholders with expertise relevant to the subject matter of the standard, those that are materially affected by the standard, and those that can influence the implementation of the standard. The materially affected stakeholders shall represent a meaningful segment of the participants.	Procedures	Yes	PEFC B 2001:2012- 3.2.2.
	Process	Yes	Development report chap 2.2.2.
4.5 The standardising body shall establish procedures for dealing with any substantive and procedural complaints relating to the standardising activities which are accessible to stakeholders.	Procedures	yes	PEFC B 2001:2012 -6.
	Process	Yes	Development report – chap 7
4.5 Upon receipt of the complaint, the standard-setting body shall:			
a) acknowledge receipt of the complaint to the complainant,	Procedures	yes	PEFC B 2001:2012- 6.
	Process	Yes	Development report – chap 7
b) gather and verify all necessary information to validate the complaint, impartially and objectively evaluate the subject matter of the complaint, and make a decision upon the complaint, and	Procedures	yes	PEFC B 2001:2012- 6.
	Process	Yes	Development report – chap 7

Question	Assess. basis*	YES /NO*	Reference to application documents
c) formally communicate the decision on the complaint and of the complaint handling process to the complainant.	Procedures	yes	PEFC B 2001:2012 - 6.
	Process	Yes	Development report – chap 7
4.6 The standardising body shall establish at least one contact point for enquiries and complaints relating to its standard-setting activities. The contact point shall be made easily available.	Procedures	yes	PEFC B 2001:2012 - 6.
Standard-setting process			
5.1 The standardising body shall identify stakeholders relevant to the objectives and scope of the standard-setting work.	Procedures	yes	PEFC B 2001:2012 3.1.2.
	Process	Yes	Development report Chap 4. Phase 1
5.2 The standardising body shall identify disadvantaged and key stakeholders. The standardising body shall address the constraints of their participation and proactively seek their participation and contribution in the standard-setting activities.	Procedures	yes	PEFC B 2001:2012 3.1.2.
	Process	Yes	Development report Chap 4. Phase 1 + Annex 1
5.3 The standardising body shall make a public announcement of the start of the standard-setting process and include an invitation for participation in a timely manner on its website and in suitable media as appropriate to afford stakeholders an opportunity for meaningful contributions.	Procedures	yes	PEFC B 2001:2012 4.3.1. & 4.3.2.
	Process	Yes	Development report Chap 4. Phase 2
5.3 The announcement and invitation shall include:			
a) information about the objectives, scope and the steps of the standard-setting process and its timetable,	Procedures	yes	PEFC B 2001:2012 4.3.1.
	Process	Yes	Development report Chap 4. Phase 2
b) information about opportunities for stakeholders to participate in the process,	Procedures	yes	PEFC B 2001:2012 4.3.1.
	Process	Yes	Development report Chap 4. Phase 2
(c) an invitation to stakeholders to nominate their representative(s) to the working group/committee. The	Procedures	yes	PEFC B 2001:2012 4.3.1.

Question	Assess. basis*	YES /NO*	Reference to application documents
invitation to disadvantaged and key stakeholders shall be made in a manner that ensures that the information reaches intended recipients and in a format that is understandable,	Process	Yes	Development report Chap 4. Phase 2
d) an invitation to comment on the scope and the standard-setting process, and	Procedures	yes	PEFC B 2001:2012 4.3.1.
	Process	Yes	Development report Chap 4. Phase 2
e) reference to publicly available standard-setting procedures.	Procedures	yes	PEFC B 2001:2012 4.3.1. + 5.
	Process	Yes	Development report Chap 4. Phase 2
5.4 The standardising body shall review the standard-setting process based on comments received from the public announcement and establish a working group/committee or adjust the composition of an already existing working group/committee based on received nominations. The acceptance and refusal of nominations shall be justifiable in relation to the requirements for balanced representation of the working group/committee and resources available for the standard-setting.	Procedures	Yes	PEFC B 2001:2012 4.3.1.
	Process	Yes	Development report Chap 4. Phase 2. 2) & 3)
5.5 The work of the working group/committee shall be organised in an open and transparent manner where:			
a) working drafts shall be available to all members of the working group/committee,	Procedures	Yes	PEFC B 2001:2012 3.2.3.
	Process	Yes	Development report 3.2.3. + 4. Phase 3
b) all members of the working group shall be provided with meaningful opportunities to contribute to the development or revision of the standard and submit comments to the working drafts, and	Procedures	Yes	PEFC B 2001:2012 3.2.3.
	Process	Yes	Development report 3.2.3. + 4. Phase 3
c) comments and views submitted by any member of the working group/committee shall be considered in an open and transparent way and their resolution and proposed changes shall be recorded.	Procedures	Yes	PEFC B 2001:2012 3.2.3.
	Process	Yes	Development report 3.2.3. + 4. Phase 3

Question	Assess. basis*	YES /NO*	Reference to application documents
5.6 The standardising body shall organise a public consultation on the enquiry draft and shall ensure that:			
a) the start and the end of the public consultation is announced in a timely manner in suitable media,	Procedures	Yes	PEFC B 2001:2012 4.5.
	Process	Yes	Development report Chap 4. Phase 4.
b) the invitation of disadvantaged and key stakeholders shall be made by means that ensure that the information reaches its recipient and is understandable,	Procedures	Yes	PEFC B 2001:2012 4.5.
	Process	Yes	Development report Chap 4. Phase 4.
c) the enquiry draft is publicly available and accessible,	Procedures	Yes	PEFC B 2001:2012 4.5.
	Process	Yes	Development report Chap 4. Phase 4.
d) the public consultation is for at least 60 days,	Procedures	Yes	PEFC B 2001:2012 4.5.
	Process	Yes	Development report Chap 4. Phase 4.
e) all comments received are considered by the working group/committee in an objective manner,	Procedures	Yes	PEFC B 2001:2012 4.5.
	Process	Yes	Development report Chap 4. Phase 4.
(f) a synopsis of received comments compiled from material issues, including the results of their consideration, is publicly available, for example on a website.	Procedures	Yes	PEFC B 2001:2012 4.5.
	Process	Yes	Development report Chap 4. Phase 4.
5.7 The standardising body shall organise pilot testing of the new standards and the results of the pilot testing shall be considered by the working group/committee.	Procedures	Yes	PEFC B 2001:2012 4.6.
	Process	Yes	Development report Chap 4. Phase 5.

Question	Assess. basis*	YES /NO*	Reference to application documents
5.8 The decision of the working group to recommend the final draft for formal approval shall be taken on the basis of a consensus.	Procedures	Yes	PEFC B 2001:2012 4.7.2.
	Process	Yes	Development report Chap 4. Phase 5 +6.a).
5.8 In order to reach a consensus the working group/committee can utilise the following alternative processes to establish whether there is opposition:			
a) a face-to face meeting where there is a verbal yes/no vote, show of hands for a yes/no vote; a statement on consensus from the Chair where there are no dissenting voices or hands (votes); a formal balloting process, etc.,	Procedures	Yes	PEFC B 2001:2012 3.2.3.
	Process	Yes	Development report 5 + Phase 3 et 5
b) a telephone conference meeting where there is a verbal yes/no vote,	Procedures	No	Complete procedure to reach a consensus in PEFC B 3.2.3.
	Process		Development report
c) an e-mail meeting where a request for agreement or objection is provided to members with the members providing a written response (a proxy for a vote), or	Procedures	No	Complete procedure to reach a consensus in PEFC B 3.2.3.
	Process	no	
d) combinations thereof.	Procedures	No	Complete procedure to reach a consensus in PEFC B 3.2.3.
	Process	No	
5.9 In the case of a negative vote which represents sustained opposition to any important part of the concerned interests surrounding a substantive issue, the issue shall be resolved using the following mechanism(s):			
a) discussion and negotiation on the disputed issue within the working group/committee in order to find a compromise,	Procedures	Yes	
	Process	Yes	
b) direct negotiation between the stakeholder(s) submitting the objection and stakeholders with different views on the disputed issue in order to find a compromise,	Procedures	Yes	
	Process	Yes	
c) dispute resolution process.	Procedures	Yes	

Question	Assess. basis*	YES /NO*	Reference to application documents
	Process	Yes	
5.10 Documentation on the implementation of the standard-setting process shall be made publicly available.	Procedures	Yes	PEFC B 2001:2012 - 5.
	Process	Yes	Development report chap 6 + ref www.pefc.be
5.11 The standardising body shall formally approve the standards/normative documents based on evidence of consensus reached by the working group/committee.	Procedures	Yes	PEFC B 2001:2012 - 4.7.
	Process	Yes	Development report chap 5
5.12 The formally approved standards/normative documents shall be published in a timely manner and made publicly available.	Procedures	Yes	PEFC B 2001:2012 - 5.
	Process	Yes	Development report chap 6
Revisions of standards/normative documents			
6.1 The standards/normative documents shall be reviewed and revised at intervals that do not exceed a five-year period. The procedures for the revision of the standards/normative documents shall follow those set out in chapter 5.	Process	Yes	PEFC B 001:2012 Objectives "The revisions will be undertaken at least every five years and must be participatory, fair and transparent. PEFC Belgium is the body responsible for undertaking this revision, which is carried out in accordance with Annex 7 of the PEFC Council Technical Document (Endorsement and Mutual Recognition of National Schemes and their Revision)."
6.2 The revision shall define the application date and transition date of the revised standards/normative documents.	Process	Yes	PEFC B 2001:2012- 8.
6.3 The application date shall not exceed a period of one year from the publication of the standard. This is needed for the endorsement of the revised standards/normative documents, introducing the changes, information dissemination and training.	Process	yes	PEFC B 2001:2012- 8.
6.4 The transition date shall not exceed a period of one year except in justified exceptional circumstances where the implementation of the revised standards/normative documents requires a longer period.	Process	yes	PEFC B 2001:2012 - 8.

3 APPLICATION DOCUMENTATION

The application for the endorsement and mutual recognition as defined in Chapter 5 of Annex 7 (*Endorsement and Mutual Recognition of National Schemes and their Revision*) shall include information which enables the assessment of the applicant scheme's compliance with the PEFC Council requirements.

The application documentation should identify and make reference to other detailed documentation such as minutes, internal procedures and rules, reports, etc. which do not need to create a part of the application documentation.

Asses. basis* The standard setting is assessed against the PEFC Council requirements in two stages: (i) compliance of written standard setting procedures ("Procedures") and (ii) compliance of the standard setting process itself ("Process").

For "Procedures" the applicant should refer to the part(s) of its standard setting procedures related to the respective PEFC requirement. For "Process" the applicant should either refer to the report/records of the standard setting process forming a part of the submitted application documents, or describe how the PEFC requirement was fulfilled during the standard setting process.

YES/NO* If the answer to any question is no, the application documentation shall indicate for each element why and what alternative measures have been taken to address the element in question.

PART II: MINIMUM REQUIREMENTS CHECKLIST FOR GROUP FOREST MANAGEMENT CERTIFICATION (PEFC ST 1002:2010)

1 SCOPE

Part II covers requirements for group forest management certification as defined in PEFC ST 1002:2010, *Group Forest Management Certification – Requirements*.

2 CHECKLIST

Question	YES / NO*	Reference to scheme documentation
General		
4.1 Does the forest certification scheme provide clear definitions for the following terms in conformity with the definitions of those terms presented in chapter 3 of PEFC ST 1002:2010:		
a) the group organisation,	YES	PEFC B 3001:2012
b) the group entity,	YES	PEFC B 3001:2012
c) the participant,	YES	PEFC B 3001:2012
d) the certified area,	YES	PEFC B 3001:2012
e) the group forest certificate, and	YES	PEFC B 3001:2012
f) the document confirming participation in group forest certification.	YES	PEFC B 3001:2012
4.1.2 In cases where a forest certification scheme allows an individual forest owner to be covered by additional group or individual forest management certifications, the scheme shall ensure that non-conformity by the forest owner identified under one forest management certification scheme is addressed in any other forest management certification scheme that covers the forest owner.	NO	Not applicable
4.1.3 The forest certification scheme shall define requirements for group forest certification which ensure that participants' conformity with the sustainable forest management standard is centrally administered and is subject to central review and that all participants shall be subject to the internal monitoring programme.	YES	PEFC B 1001:2012 – 5.1.2.
4.1.4 The forest certification scheme shall define requirements for an annual internal monitoring programme that provides sufficient confidence in the conformity of the whole group organisation with the sustainable forest management standard.	YES	PEFC B 1001:2012 – 5.1.2.e.
Functions and responsibilities of the group entity		
4.2.1 The forest certification scheme shall define the following requirements for the function and responsibility of the group entity:		

Question	YES / NO*	Reference to scheme documentation
a) To represent the group organisation in the certification process, including in communications and relationships with the certification body, submission of an application for certification, and contractual relationship with the certification body;	YES	PEFC B 1001:2012 – 5.1.2.a.
b) To provide a commitment on behalf of the whole group organisation to comply with the sustainable forest management standard and other applicable requirements of the forest certification scheme;	YES	PEFC B 1001:2012 – 5.1.2.b.
c) To establish written procedures for the management of the group organisation;	YES	PEFC B 1001:2012 – 5.1.2. working
<p>d) To keep records of:</p> <ul style="list-style-type: none"> - the group entity and participants' conformity with the requirements of the sustainable forest management standard, and other applicable requirements of the forest certification scheme, - all participants, including their contact details, identification of their forest property and its/their size(s), - the certified area, - the implementation of an internal monitoring programme, its review and any preventive and/or corrective actions taken; 	YES	PEFC B 1001:2012 – 5.1.2.h.
<p>e) To establish connections with all participants based on a written agreement which shall include the participants' commitment to comply with the sustainable forest management standard. The group entity shall have a written contract or other written agreement with all participants covering the right of the group entity to implement and enforce any corrective or preventive measures, and to initiate the exclusion of any participant from the scope of certification in the event of non-conformity with the sustainable forest management standard;</p>	YES	PEFC B 1001:2012 – 5.1.2.d? et i..
f) To provide participants with a document confirming participation in the group forest certification;	YES	PEFC B 1001:2012 – 5.1.2.f.
g) To provide all participants with information and guidance required for the effective implementation of the sustainable forest management standard and other applicable requirements of the forest certification scheme;	YES	PEFC B 1001:2012 – 5.1.2.g.
h) To operate an annual internal monitoring programme that provides for the evaluation of the participants' conformity with the certification requirements, and;	YES	PEFC B 1001:2012 – 5.1.2.e.
i) To operate a review of conformity with the sustainable forest management standard, that includes reviewing the results of the internal monitoring programme and the certification body's evaluations and surveillance; corrective	YES	PEFC B 1001:2012 – 5.1.2.j.

Question	YES / NO*	Reference to scheme documentation
and preventive measures if required; and the evaluation of the effectiveness of corrective actions taken.		
Function and responsibilities of participants		
4.3.1 The forest certification scheme shall define the following requirements for the participants:		
a) To provide the group entity with a written agreement, including a commitment on conformity with the sustainable forest management standard and other applicable requirements of the forest certification scheme;	YES	PEFC B 1001:2012 – 5.1.4.a.
b) To comply with the sustainable forest management standard and other applicable requirements of the forest certification scheme;	YES	PEFC B 1001:2012 – 5.1.4.a.
c) To provide full co-operation and assistance in responding effectively to all requests from the group entity or certification body for relevant data, documentation or other information; allowing access to the forest and other facilities, whether in connection with formal audits or reviews or otherwise;	YES	PEFC B 1001:2012 – 5.1.4.c.
d) To implement relevant corrective and preventive actions established by the group entity.	YES	PEFC B 1001:2012 – 5.1.4.b.

PART III: MINIMUM REQUIREMENTS CHECKLIST FOR SUSTAINABLE FOREST MANAGEMENT (PEFC ST 1003:2010)

1 SCOPE

Part III covers requirements for sustainable forest management as defined in PEFC ST 1003:2010, *Sustainable Forest Management – Requirements*.

! les références en bleues sont issues de la législation belge ou wallonne et ne sont donc pas intégrées dans les standards de gestion.

2 CHECKLIST

Question	YES / NO*	Reference to scheme documentation
General requirements for SFM standards		
4.1 The requirements for sustainable forest management defined by regional, national or sub-national forest management standards shall		
a) include management and performance requirements that are applicable at the forest management unit level, or at another level as appropriate, to ensure that the intent of all requirements is achieved at the forest management unit level.	Yes	PEFC B 1002 :2012 objectives + see requirements chap 4.
b) be clear, objective-based and auditable.	Yes	PEFC B 1002:2012 Objectives
c) apply to activities of all operators in the defined forest area who have a measurable impact on achieving compliance with the requirements.	Yes	PEFC B 1002:2012 4.2.2. "inform everyone involved in the management of my property (owner, manager, service provider, hunters,..) on a regular basis of the ins and outs of membership of the PEFC" 4.2.11. "use wood sales and exploitations specification (...)"
d) require record-keeping that provides evidence of compliance with the requirements of the forest management standards.	Yes	Audits reports
Specific requirements for SFM standards		
Criterion 1: Maintenance and appropriate enhancement of forest resources and their contribution to the global carbon cycle		
5.1.1 Forest management planning shall aim to maintain or increase forests and other wooded areas and enhance the quality of the economic, ecological, cultural and social values of forest resources, including soil and water. This shall be done by making full use of related services and tools that support land-use planning and nature conservation.	Yes	PEFC B 1002:2012 4.1. - 1A & 10B 4.2. – 3 & 11
5.1.2 Forest management shall comprise the cycle of inventory and planning, implementation, monitoring and evaluation, and shall include an appropriate assessment of	Yes	PEFC B 1002:2012 4.2. – 3

Question	YES / NO*	Reference to scheme documentation
the social, environmental and economic impacts of forest management operations. This shall form a basis for a cycle of continuous improvement to minimise or avoid negative impacts.		4.1. – 1A
5.1.3 Inventory and mapping of forest resources shall be established and maintained, adequate to local and national conditions and in correspondence with the topics described in this document.	Yes	PEFC B 1002:2012 4.1. – 1B & 7A 4.2. – 3
5.1.4 Management plans or their equivalents, appropriate to the size and use of the forest area, shall be elaborated and periodically updated. They shall be based on legislation as well as existing land-use plans, and adequately cover the forest resources.	Yes	PEFC B 1002:2012 4.2. – 3 4.1. – 1C
5.1.5 Management plans or their equivalents shall include at least a description of the current condition of the forest management unit, long-term objectives; and the average annual allowable cut, including its justification and, where relevant, the annually allowable exploitation of non-timber forest products.	Yes	PEFC B 1002:2012 4.2. – 3 + Appendix 1 Legislation : CF ¹ art. 57
5.1.6 A summary of the forest management plan or its equivalent appropriate to the scope and scale of forest management, which contains information about the forest management measures to be applied, is publicly available. The summary may exclude confidential business and personal information and other information made confidential by national legislation or for the protection of cultural sites or sensitive natural resource features.	Yes	PEFC B 1002:2012 4.2. – 3
5.1.7 Monitoring of forest resources and evaluation of their management shall be periodically performed, and results fed back into the planning process.	Yes	PEFC B 1002:2012 4.1. – 1B Legislation : CF art. 8 à 11 (Regional Forest Inventory)
5.1.8 Responsibilities for sustainable forest management shall be clearly defined and assigned.	Yes	CF + AGW ² 27 Mai 2009
5.1.9 Forest management practices shall safeguard the quantity and quality of the forest resources in the medium and long term by balancing harvesting and growth rates, and by preferring techniques that minimise direct or indirect damage to forest, soil or water resources.	Yes	PEFC B 1002:2012 4.1. – 9A & 12A 4.2. – 4
5.1.10 Appropriate silvicultural measures shall be taken to maintain or reach a level of the growing stock that is economically, ecologically and socially desirable.	Yes	PEFC B 1002:2012 4.1. – 12A 4.2. – 4

¹ 15 juillet 2008. – Décret relatif au Code forestier (M.B. du 12/09/2008)

<http://environnement.wallonie.be/legis/dnf/forets/foret025.htm>

² 27 mai 2009. – Arrêté du Gouvernement wallon relatif à l'entrée en vigueur et à l'exécution du décret du 15 juillet 2008 relatif au Code forestier <http://wallex.wallonie.be/index.php?doc=15273&rev=15870-8324>

Question	YES / NO*	Reference to scheme documentation
<p>5.1.11 Conversion of forests to other types of land use, including conversion of primary forests to forest plantations, shall not occur unless in justified circumstances where the conversion:</p> <p>a) is in compliance with national and regional policy and legislation relevant for land use and forest management and is a result of national or regional land-use planning governed by a governmental or other official authority including consultation with materially and directly interested persons and organisations; and</p> <p>b) entails a small proportion of forest type; and</p> <p>c) does not have negative impacts on threatened (including vulnerable, rare or endangered) forest ecosystems, culturally and socially significant areas, important habitats of threatened species or other protected areas; and</p> <p>d) makes a contribution to long-term conservation, economic, and social benefits.</p>	Yes	<p>PEFC B 1002:2012</p> <p>4.2. – 1</p> <p>Legislation : CWATUPE³ art 84.</p> <p>Nul ne peut, sans un permis d'urbanisme préalable écrit et exprès, (9° a. boiser ou déboiser; toutefois, la sylviculture dans la zone forestière n'est pas soumise à permis;</p>
<p>5.1.12 Conversion of abandoned agricultural and treeless land into forest land shall be taken into consideration, whenever it can add economic, ecological, social and/or cultural value.</p>	Yes	<p>PEFC B 1002:2012</p> <p>4.1. –1A</p>
<p>5.2.1 Forest management planning shall aim to maintain and increase the health and vitality of forest ecosystems and to rehabilitate degraded forest ecosystems, whenever this is possible by silvicultural means.</p>	Yes	<p>PEFC B 1002:2012</p> <p>4.1. –5A</p>
Criterion 2: Maintenance of forest ecosystem health and vitality		
<p>5.2.2 Health and vitality of forests shall be periodically monitored, especially key biotic and abiotic factors that potentially affect health and vitality of forest ecosystems, such as pests, diseases, overgrazing and overstocking, fire, and damage caused by climatic factors, air pollutants or by forest management operations.</p>		<p>Observatoire Wallon de la Santé des Forêts⁴</p> <p>Quatre missions lui sont dévolues :</p> <ul style="list-style-type: none"> produire un bilan périodique de la santé de nos peuplements forestiers ; maintenir à jour les données relatives au développement d'insectes pathogènes et de maladies ; participer à l'élaboration de cartes de risques biotiques et abiotiques sur base de l'état de vulnérabilité des essences forestières et des stations ; réunir les connaissances suffisantes pour

³ Code wallon de l'Aménagement du Territoire, de l'Urbanisme ,(du Patrimoine et de l'Energie - Décret du 19 avril 2007, art. 2) <http://wallex.wallonie.be/index.php?doc=1423> (M.B. du 19/05/1984, p. 6939; Err. : M.B. du 25/05/1984, p. 7636)

⁴ Observatoire Wallon de la Santé des forêts http://environnement.wallonie.be/cgi/dgrne/plateforme_dgrne/visiteur/frames_affichage_document2.cfm?origine=2930&idFile=2930&thislangue=FR&pere=252

Question	YES / NO*	Reference to scheme documentation
		mettre en œuvre une lutte coordonnée en situation de crise sanitaire
5.2.3 The monitoring and maintaining of health and vitality of forest ecosystems shall take into consideration the effects of naturally occurring fire, pests and other disturbances.	Not applicable	Belgium is a low risk country. Monitoring the health and vitality of forests by the Observatoire takes into consideration the effects of fire, pests and other disturbances that arise naturally.
5.2.4 Forest management plans or their equivalents shall specify ways and means to minimise the risk of degradation of and damages to forest ecosystems. Forest management planning shall make use of those policy instruments set up to support these activities.	Yes	Legislation : CF art.32 à 50
5.2.5 Forest management practices shall make best use of natural structures and processes and use preventive biological measures wherever and as far as economically feasible to maintain and enhance the health and vitality of forests. Adequate genetic, species and structural diversity shall be encouraged and/or maintained to enhance the stability, vitality and resistance capacity of the forests to adverse environmental factors and strengthen natural regulation mechanisms.	Yes	PEFC B 1002:2012 4.1. – Aim 5B & 8A 4.2.- 5 point 1
5.2.6 Lighting of fires shall be avoided and is only permitted if it is necessary for the achievement of the management goals of the forest management unit.	Yes	Legislation CF art. 44 Art. 44. Il est interdit de brûler des rémanents durant toute l'année, sauf (..) aux conditions fixées par le Gouvernement. + art. 24 AGW du 27 mai 2009
5.2.7 Appropriate forest management practices such as reforestation and afforestation with tree species and provenances that are suited to the site conditions or the use of tending, harvesting and transport techniques that minimise tree and/or soil damages shall be applied. The spillage of oil during forest management operations or the indiscriminate disposal of waste on forest land shall be strictly avoided. Non-organic waste and litter shall be avoided, collected, stored in designated areas and removed in an environmentally-responsible manner.	Yes	PEFC B 1002:2012 4.1. – Aim 9 4.2. – 5, 6, 8 & 11 Legislation : CF art 40
5.2.8 The use of pesticides shall be minimised and appropriate silvicultural alternatives and other biological measures preferred.	Yes	PEFC B 1002:2012 4.2. – 7 Legislation CF art. 42. Any use of herbicides, fungicides and insecticides is prohibited, subject to the exceptions laid down by the Government.
5.2.9 The WHO Type 1A and 1B pesticides and other highly toxic pesticides shall be prohibited, except where no other viable alternative is available.	YES	PEFC B 1002:2012 4.2. – 7 Legislation CF art. 42.

Question	YES / NO*	Reference to scheme documentation
5.2.10 Pesticides, such as chlorinated hydrocarbons whose derivatives remain biologically active and accumulate in the food chain beyond their intended use, and any pesticides banned by international agreement, shall be prohibited.	YES	PEFC B 1002:2012 4.2. – 7 Legislation CF art. 42.
5.2.11 The use of pesticides shall follow the instructions given by the pesticide producer and be implemented with proper equipment and training.	YES	PEFC B 1002:2012 4.2. – 7 Legislation CF art. 42.
5.2.12 Where fertilisers are used, they shall be applied in a controlled manner and with due consideration for the environment.		PEFC B 1002:2012 4.2. – 7 Legislation : CF art. 41 + art. 23 AGW du 27 mai 2009
Criterion 3: Maintenance and encouragement of productive functions of forests (wood and non-wood)		
5.3.1 Forest management planning shall aim to maintain the capability of forests to produce a range of wood and non-wood forest products and services on a sustainable basis.	Yes	PEFC B 1002:2012 4.1.– 8B, 10A & 10B
5.3.2 Forest management planning shall aim to achieve sound economic performance taking into account any available market studies and possibilities for new markets and economic activities in connection with all relevant goods and services of forests.	Yes	PEFC B 1002:2012 4.1. – 1A & 10A
5.3.3 Forest management plans or their equivalents shall take into account the different uses or functions of the managed forest area. Forest management planning shall make use of those policy instruments set up to support the production of commercial and non-commercial forest goods and services.	Yes	PEFC B 1002:2012 4.2. – 3 4.1. – 1A
5.3.4 Forest management practices shall maintain and improve the forest resources and encourage a diversified output of goods and services over the long term.	Yes	PEFC B 1002:2012 4.1.– 1A
5.3.5 Regeneration, tending and harvesting operations shall be carried out in time, and in a way that does not reduce the productive capacity of the site, for example by avoiding damage to retained stands and trees as well as to the forest soil, and by using appropriate systems.	Yes	PEFC B 1002:2012 4.1.- 5 & 11
5.3.6 Harvesting levels of both wood and non-wood forest products shall not exceed a rate that can be sustained in the long term, and optimum use shall be made of the harvested forest products, with due regard to nutrient off-take.	Yes	PEFC B 1002:2012 4.2. – 4 & 11 point 5
5.3.7 Where it is the responsibility of the forest owner/manager and included in forest management, the exploitation of non-timber forest products, including hunting and fishing, shall be regulated, monitored and controlled.	Yes	PEFC B 1002:2012 4.2.– 12 4.1. – 4A et 4B
5.3.8 Adequate infrastructure such as roads, skid tracks or	Yes	Adequate network in public forest It is one of the missions of the cell

Question	YES / NO*	Reference to scheme documentation
bridges shall be planned, established and maintained to ensure efficient delivery of goods and services while minimising negative impacts on the environment.		supporting small property of the economic observatory of the Walloon timber
Criterion 4: Maintenance, conservation and appropriate enhancement of biological diversity in forest ecosystems		
5.4.1 Forest management planning shall aim to maintain, conserve and enhance biodiversity on ecosystem, species and genetic levels and, where appropriate, diversity at landscape level.	Yes	PEFC B 1002:2012 4.2. – 5, 6, 9 PEFC B 1002:2012 4.1. - 1A, 5B, 5C, 6A, 6B, 7A & 7B
5.4.2 Forest management planning, inventory and mapping of forest resources shall identify, protect and/or conserve ecologically important forest areas containing significant concentrations of: a) protected, rare, sensitive or representative forest ecosystems such as riparian areas and wetland biotopes; b) areas containing endemic species and habitats of threatened species, as defined in recognised reference lists; c) endangered or protected genetic <i>in situ</i> resources; and taking into account d) globally, regionally and nationally significant large landscape areas with natural distribution and abundance of naturally occurring species.	Yes	PEFC B 1002:2012 4.1. – 1B, 1C, 6A, 6B, 7A, 7B 4.2. – 3, 8 & 9 Legislation : AGW 24 MARS 2011. ⁵ Biodiversity circular ⁶ (mandatory for state forests and best practices for other forests) and biodiversity guide (applicable for small and private forest owner (not mandatory))
5.4.3 Protected and endangered plant and animal species shall not be exploited for commercial purposes. Where necessary, measures shall be taken for their protection and, where relevant, to increase their population.	Yes	PEFC B 1002:2012 4.2.– 1
5.4.4 Forest management shall ensure successful regeneration through natural regeneration or, where not appropriate, planting that is adequate to ensure the quantity and quality of the forest resources.	Yes	PEFC B 1002:2012 4.1. – 8A 4.2. – 5
5.4.5 For reforestation and afforestation, origins of native species and local provenances that are well-adapted to site conditions shall be preferred, where appropriate. Only those introduced species, provenances or varieties shall be used whose impacts on the ecosystem and on the genetic integrity of native species and local provenances have been evaluated, and if negative impacts can be avoided or	Yes	PEFC B 1002:2012 4.1. – 5B, 5D, 6A, 6B & 8A 4.2. – 5

⁵ [24 MARS 2011](#). – Arrêté du Gouvernement wallon portant les mesures préventives générales applicables aux sites Natura 2000 ainsi qu'aux sites candidats au réseau Natura 2000

⁶ <http://environnement.wallonie.be/publi/dnf/normes.pdf>

Question	YES / NO*	Reference to scheme documentation
minimised.		
5.4.6 Afforestation and reforestation activities that contribute to the improvement and restoration of ecological connectivity shall be promoted.	YES	Legislation CF art 71 4 et 5 The extension of the forest area is not planned, given the land pressure.
5.4.7 Genetically-modified trees shall not be used.	YES	PEFC B 1002:2012 4.2. – 5 point 3
5.4.8 Forest management practices shall, where appropriate, promote a diversity of both horizontal and vertical structures such as uneven-aged stands and the diversity of species such as mixed stands. Where appropriate, the practices shall also aim to maintain and restore landscape diversity.	Yes	PEFC B 1002:2012 4.1. – 8A 4.2. – 6
5.4.9 Traditional management systems that have created valuable ecosystems, such as coppice, on appropriate sites shall be supported, when economically feasible.	Yes	PEFC B 1002:2012 4.1. – 7B 4.2. – 9
5.4.10 Tending and harvesting operations shall be conducted in a way that does not cause lasting damage to ecosystems. Wherever possible, practical measures shall be taken to improve or maintain biological diversity.	Yes	PEFC B 1002:2012 4.1. – 8A, 9C, 9B 4.2. – 11
5.4.11 Infrastructure shall be planned and constructed in a way that minimises damage to ecosystems, especially to rare, sensitive or representative ecosystems and genetic reserves, and that takes threatened or other key species – in particular their migration patterns – into consideration.	Yes	PEFC B 1002:2012 4.2. – 8 & 9
5.4.12 With due regard to management objectives, measures shall be taken to balance the pressure of animal populations and grazing on forest regeneration and growth as well as on biodiversity.	Yes	PEFC B 1002:2012 4.2. – 12 4.1. – 4A & 4B
5.4.13 Standing and fallen dead wood, hollow trees, old groves and special rare tree species shall be left in quantities and distribution necessary to safeguard biological diversity, taking into account the potential effect on the health and stability of forests and on surrounding ecosystems.	Yes	PEFC B 1002:2012 4.2. – 6 & 10 4.1. – 8A
Criterion 5: Maintenance and appropriate enhancement of protective functions in forest management (notably soil and water)		
5.5.1 Forest management planning shall aim to maintain and enhance protective functions of forests for society, such as protection of infrastructure, protection from soil erosion, protection of water resources and from adverse impacts of water such as floods or avalanches.	Yes	PEFC B 1002:2012 4.1. – 1A, 5C 4.2. – 3, 5, 6 & 8

Question	YES / NO*	Reference to scheme documentation
5.5.2 Areas that fulfil specific and recognised protective functions for society shall be registered and mapped, and forest management plans or their equivalents shall take these areas into account.	Yes	PEFC B 1002:2012 4.1. – 1B & 1C 4.2. – 3 & 11
5.5.3 Special care shall be given to silvicultural operations on sensitive soils and erosion-prone areas as well as in areas where operations might lead to excessive erosion of soil into watercourses. Inappropriate techniques such as deep soil tillage and use of unsuitable machinery shall be avoided in such areas. Special measures shall be taken to minimise the pressure of animal populations.	Yes	PEFC B 1002:2012 4.1. – 9B, 9C, 9D 4.2. – 1 & 8
5.5.4 Special care shall be given to forest management practices in forest areas with water protection functions to avoid adverse effects on the quality and quantity of water resources. Inappropriate use of chemicals or other harmful substances or inappropriate silvicultural practices influencing water quality in a harmful way shall be avoided.	Yes	PEFC B 1002:2012 4.1. – 9B, 9C, 9D, 9E 4.2. – 1, 7 & 8
5.5.5 Construction of roads, bridges and other infrastructure shall be carried out in a manner that minimises bare soil exposure, avoids the introduction of soil into watercourses and preserves the natural level and function of water courses and river beds. Proper road drainage facilities shall be installed and maintained.	Yes	PEFC B 1002:2012 4.2. – 1
Criterion 6: Maintenance of other socio-economic functions and conditions		
5.6.1 Forest management planning shall aim to respect the multiple functions of forests to society, give due regard to the role of forestry in rural development, and especially consider new opportunities for employment in connection with the socio-economic functions of forests.	YES	PEFC B 1002:2012 4.1. – 1A, 10A, 11A, 11B 4.2. - 13
5.6.2 Forest management shall promote the long-term health and well-being of communities within or adjacent to the forest management area.	Not Applicable	No local communities or indigenous people are living in the wallon forest
5.6.3 Property rights and land tenure arrangements shall be clearly defined, documented and established for the relevant forest area. Likewise, legal, customary and traditional rights related to the forest land shall be clarified, recognised and respected.	Not applicable	Civil Code Existence of Real Estate Register
5.6.4 Forest management activities shall be conducted in recognition of the established framework of legal, customary and traditional rights such as outlined in ILO 169 and the UN Declaration on the Rights of Indigenous Peoples, which shall not be infringed upon without the free, prior and informed consent of the holders of the rights, including the provision of compensation where applicable. Where the extent of rights is not yet resolved or is in dispute there are processes for just and fair resolution. In such cases forest managers shall, in the interim, provide meaningful opportunities for parties to be engaged in forest management decisions whilst respecting the processes and roles and responsibilities laid out in the policies and laws where the certification takes	Not Applicable	No local communities or indigenous people are living in the wallon forest

Question	YES / NO*	Reference to scheme documentation
place.		
5.6.5 Adequate public access to forests for the purpose of recreation shall be provided taking into account respect for ownership rights and the rights of others, the effects on forest resources and ecosystems, as well as compatibility with other functions of the forest.	Yes	4.2. – 13 4.1.1 – 11A, 11B
5.6.6 Sites with recognised specific historical, cultural or spiritual significance and areas fundamental to meeting the basic needs of local communities (e.g. health, subsistence) shall be protected or managed in a way that takes due regard of the significance of the site.	Yes	PEFC B 1002:2012 4.2.. – 13
5.6.7 Forest management operations shall take into account all socio-economic functions, especially the recreational function and aesthetic values of forests by maintaining for example varied forest structures, and by encouraging attractive trees, groves and other features such as colours, flowers and fruits. This shall be done, however, in a way and to an extent that does not lead to serious negative effects on forest resources, and forest land.	Yes	PEFC B 1002:2012 4.2. – 6 & 13
5.6.8 Forest managers, contractors, employees and forest owners shall be provided with sufficient information and encouraged to keep up-to-date through continuous training in relation to sustainable forest management as a precondition for all management planning and practices described in this standard.	Yes	PEFC B 1002:2012 4.2. – 2 4.1. – 2C, 9A, 9B , 9D
5.6.9 Forest management practices shall make the best use of local forest-related experience and knowledge, such as those of local communities, forest owners, NGOs and local people.	Yes	PEFC B 1002:2012 4.2. – 2 4.1. – 2D
5.6.10 Forest management shall provide for effective communication and consultation with local people and other stakeholders relating to sustainable forest management and shall provide appropriate mechanisms for resolving complaints and disputes relating to forest management between forest operators and local people.	Yes	PEFC B 1002:2012 4.2. – 3 4.1. – 2A, 2B
5.6.11 Forestry work shall be planned, organised and performed in a manner that enables health and accident risks to be identified and all reasonable measures to be applied to protect workers from work-related risks. Workers shall be informed about the risks involved with their work and about preventive measures.	Yes	PEFC B 1002:2012 4.2. – 2 , 11 4.1. – 9B & 9D
5.6.12 Working conditions shall be safe, and guidance and training in safe working practices shall be provided to all those assigned to a task in forest operations.	Yes	PEFC B 1002:2012 4.2.. – 2 point 4, 11
5.6.13 Forest management shall comply with fundamental ILO conventions.	YEes	PEFC B 1002:2012 4.2. – 1 Belgium ratified all fundamental

Question	YES / NO*	Reference to scheme documentation
		ILO conventions www.ilo.org
5.6.14 Forest management shall be based inter-alia on the results of scientific research. Forest management shall contribute to research activities and data collection needed for sustainable forest management or support relevant research activities carried out by other organisations, as appropriate.	Yes	PEFC B 1002:2012 4.1. – 6A Legislation : CF art. 7 « The gouvernement adopts a a five-year plan of forest research. + accord-cadre de recherches forestières et de vulgarisation.
Criterion 7: Maintenance and appropriate enhancement of protective functions in forest management (notably soil and water)		
5.7.1 Forest management shall comply with legislation applicable to forest management issues including forest management practices; nature and environmental protection; protected and endangered species; property, tenure and land-use rights for indigenous people; health, labour and safety issues; and the payment of royalties and taxes.	Yes	PEFC B 1002:2012 4.2 – 1
5.7.2 Forest management shall provide for adequate protection of the forest from unauthorised activities such as illegal logging, illegal land use, illegally initiated fires, and other illegal activities.	Yes	PEFC B 1002:2012 4.2 – 1

* If the answer to any question is no, the application documentation shall indicate for each element why and what alternative measures have been taken to address the element in question.

PART IV: MINIMUM REQUIREMENTS CHECKLIST FOR CERTIFICATION AND ACCREDITATION PROCEDURES (ANNEX 6)

1 SCOPE

This document covers requirements for certification and accreditation procedures given in Annex 6 to the PEFC Council Technical Document (*Certification and accreditation procedures*).

2 CHECKLIST

No.	Question	Reference to PEFC Council PROCEDURES	YES / NO*	Reference to scheme documentation
Certification Bodies				
1.	Does the scheme documentation require that certification shall be carried out by impartial, independent third parties that cannot be involved in the standard setting process as governing or decision making body, or in the forest management and are independent of the certified entity?	Annex 6, 3.1	YES	PEFC B 2002:2012 – 4.2. PEFC B 2003:2012 - 4.1.
2.	Does the scheme documentation require that certification body for forest management certification or chain of custody certification against a scheme specific chain of custody standard shall fulfil requirements defined in ISO 17021 or ISO Guide 65?	Annex 6, 3.1	YES	PEFC B 2002:2012 – 4.2. PEFC B 2003:2012 – 4.
3.	Does the scheme documentation require that certification body chain of custody certification against Annex 4 shall fulfil requirements defined in ISO Guide 65?	Annex 6, 3.1	YES	PEFC B 2003:2012 – 4.
4.	Does the scheme documentation require that certification bodies carrying out forest certification shall have the technical competence in forest management on its economic, social and environmental impacts, and on the forest certification criteria?	Annex 6, 3.1	YES	PEFC B 2002:2012 – 4.2.
5.	Does the scheme documentation require that certification bodies carrying out C-o-C certifications shall have technical competence in forest based products procurement and processing and material flows in different stages of processing and trading?	Annex 6, 3.1		PEFC B 2003:2012 – 5.2.1 & 5.2.6.
6.	Does the scheme documentation require that certification bodies shall have a good understanding of the national PEFC system against which they carry out forest management or C-o-C certifications?	Annex 6, 3.1	YES	PEFC B 2002:2012 – 4.2. PEFC B 2003:2012 5.2.6.

No.	Question	Reference to PEFC Council PROCEDURES	YES / NO*	Reference to scheme documentation
7.	Does the scheme documentation require that certification bodies have the responsibility to use competent auditors and who have adequate technical know-how on the certification process and issues related to forest management or chain of custody certification?	Annex 6, 3.2	YES	PEFC B 2002:2012 – 4.3. PEFC B 2003:2012 – 5.1.
8.	Does the scheme documentation require that the auditors must fulfil the general criteria of ISO 19011 for Quality Management Systems auditors or for Environmental Management Systems auditors?	Annex 6, 3.2	YES	PEFC B 2002:2012 – 4.3. PEFC B 2003:2012 – 5.2.
9.	Does the scheme documentation include additional qualification requirements for auditors carrying out forest management or chain of custody audits? [¹]	Annex 6, 3.2	YES	PEFC B 2002:2012 – 4.3. PEFC B 2003:2012 – 5.2.2. & 5.2.3 & 5.2.4. & 5.2.5.
Certification procedures				
10.	Does the scheme documentation require that certification bodies shall have established internal procedures for forest management and/or chain of custody certification?	Annex 6, 4	YES	PEFC B 2002:2012 – 5.3. PEFC B 2003:2012 – 9.
11.	Does the scheme documentation require that applied certification procedures for forest management certification or chain of custody certification against a scheme specific chain of custody standard shall fulfil or be compatible with the requirements defined in ISO 17021 or ISO Guide 65?	Annex 6, 4	YES	PEFC B 2002:2012 – 5.3 PEFC B 2003:2012 – 9.
12.	Does the scheme documentation require that applied certification procedures for chain of custody certification against Annex 4 shall fulfil or be compatible with the requirements defined in ISO Guide 65?	Annex 6, 4	Yes	PEFC B 2003:2012 – 9.
13.	Does the scheme documentation require that applied auditing procedures shall fulfil or be compatible with the requirements of ISO 19011?	Annex 6, 4	YES	PEFC B 2002:2012 – 5.3 PEFC B 2003:2012 – 9.4.
14.	Does the scheme documentation require that certification body shall inform the relevant PEFC National Governing Body about all issued forest management and chain of custody certificates and changes concerning the validity and scope of these certificates?	Annex 6, 4	YES	PEFC B 2002:2012 – 5.3.3. PEFC B 2003:2012 – annex 2 + notification contract
15.	Does the scheme documentation require that certification body shall carry out controls of PEFC logo usage if the certified entity is a PEFC logo user?	Annex 6, 4	YES	PEFC B 2002:2012 – 5.3 PEFC B 2003:2012 – 10.d)
16.	Does a maximum period for surveillance audits	Annex 6, 4	YES	PEFC B 2002:2012 –

No.	Question	Reference to PEFC Council PROCEDURES	YES / NO*	Reference to scheme documentation
	defined by the scheme documentation not exceed more than one year?			5.3.2. PEFC B 2003:2012 – 13.1.1.
17	Does a maximum period for assessment audit not exceed five years for both forest management and chain of custody certifications?	Annex 6, 4	YES	PEFC B 2002:2012 – 5.3.2. PEFC B 2003:2012 – 13. & 12.2.6.
18	Does the scheme documentation include requirements for public availability of certification report summaries?	Annex 6, 4	YES	PEFC B 2002:2012 – 5.3.3.
19	Does the scheme documentation include requirements for usage of information from external parties as the audit evidence?	Annex 6, 4	YES	PEFC B 2002:2012 – 5.3
20.	Does the scheme documentation include additional requirements for certification procedures? [*1]	Annex 6, 4	NO	
Accreditation procedures				
21.	Does the scheme documentation require that certification bodies carrying out forest management and/or chain of custody certification shall be accredited by a national accreditation body?	Annex 6, 5	YES	PEFC B 2002:2012 – 6. PEFC B 2003:2012 – Annex 1
22.	Does the scheme documentation require that an accredited certificate shall bear an accreditation symbol of the relevant accreditation body?	Annex 6, 5	YES	PEFC B 2002:2012 – 6. PEFC B 2003:2012 – 12.2.
23.	Does the scheme documentation require that the accreditation shall be issued by an accreditation body which is a part of the International Accreditation Forum (IAF) umbrella or a member of IAF's special recognition regional groups and which implement procedures described in ISO 17011 and other documents recognised by the above mentioned organisations?	Annex 6, 5	YES	PEFC B 2002:2012 – 6. PEFC B 2003:2012 – Annex 1
24.	Does the scheme documentation require that certification body undertake forest management or/and chain of custody certification against a scheme specific chain of custody standard as "accredited certification" based on ISO 17021 or ISO Guide 65 and the relevant forest management or chain of custody standard(s) shall be covered by the accreditation scope?	Annex 6, 5	YES	PEFC B 2002:2012 – 6. PEFC B 2003:2012 – Annex 1
25.	Does the scheme documentation require that certification body undertake chain of custody certification against Annex 4 as "accredited certification" based on ISO Guide 65?	Annex 6, 5		PEFC B 2003:2012 – Annex 1

No.	Question	Reference to PEFC Council PROCEDURES	YES / NO*	Reference to scheme documentation
26.	Does the scheme documentation include a mechanism for PEFC notification of certification bodies?	Annex 6, 6	YES	PEFC B 2002:2012 – 6. PEFC B 2003:2012 – Annex 2
27.	Are the procedures for PEFC notification of certification bodies non-discriminatory?	Annex 6, 6	YES	PEFC B 2002:2012 – 6. PEFC B 2003:2012 – Annex 2

* If the answer to any question is no, the application documentation shall indicate for each element why and what alternative measures have been taken to address the element in question.

[*1] This is not an obligatory requirement

PART V: MINIMUM REQUIREMENTS CHECKLIST FOR SCHEME SPECIFIC CHAIN OF CUSTODY STANDARDS – COMPLIANCE WITH PEFC ST PEFC 2002:2010

1 SCOPE

Part V is used for the assessment of scheme specific chain of custody standards against PEFC ST 2002:2010 (*Chain of Custody of Forest Based Products - Requirements*).

On 16th November 2010 the PEFC General Assembly adopted PEFC ST 2002:2010 as revised PEFC Chain of Custody standard, replacing Annex 4 of the PEFC Technical Document (*Chain of Custody of Forest Based Products - Requirements*) after a one year transition period until 16th November 2011.

Until 16th November 2011, scheme specific chain of custody standards may be assessed against either of the standards. After 16th November 2011, scheme specific chain of custody standards will only be assessed for compliance with PEFC ST 2002:2010 (Part V).

Uninvolved in Belgium

PEFC ST 2002:2010 (*Chain of Custody of Forest Based Products - Requirements*) = PEFC BELGIUM 1003:2012

2 CHECKLIST

No.	Question	Reference to PEFC 2002:2010	YES / NO*	Reference to application documents
Requirements for chain of custody process – physical separation method				
1.	Does the national C-o-C standard require identification of origin of certified material/products in compliance with chapter 4.2?	4.2	YES	
2.	Does the national C-o-C standard require separation of the certified raw material in compliance with chapter 4.3?	4.3	YES	
3.	Does the national C-o-C standard require information delivered to the customer at the point of sale of certified products in compliance with chapter 4.4?	4.4	YES	
4.	Does the national standard require that usage of the logo or label shall be carried out according to the terms and conditions of the logo / label copyright owner?	4.4.2	YES	
Requirements for the chain of custody process – percentage based methods				
5.	Does the national standard require that the requirements for C-o-C process shall be implemented for the production group defined in compliance with chapters 5.1.2?	5.1.2	YES	
6.	Does the national C-o-C standard require identification of origin of material/products entering the product group in compliance with chapter 5.2?	5.2	YES	
7.	Does the national standard include requirements for the calculation of the certification percentage, which are compatible with the chapter 5.3?	5.3	YES	
8.	Does the national standard include an average percentage method in compliance with chapter	5.4.1	YES	

No.	Question	Reference to PEFC 2002:2010	YES / NO*	Reference to application documents
	5.4.1?			
9.	Does the national standard include a volume credit method in compliance with chapter 5.4.2?	5.4.2	YES	
10.	Does the national C-o-C standard require information delivered to customer at the point of sale of certified products in compliance with chapter 5.5?	5.5	YES	
11.	Does the national standard require that usage of the logo or label shall be carried out according to the terms and conditions of the logo / label copyright owner?	5.5.2	YES	
12.	Does the national standard require a Due Diligence System (DDS) to ensure that the certified products do not include raw material from controversial sources?	5.6; Appendix 2	YES	
Minimum management system requirements				
13.	Does the national standard require management responsibilities for the organisation's management in compliance with chapter 6.2.1?	6.2.1	YES	
14.	Does the national standard include requirements for responsibilities and authorities for C-o-C in compliance with chapter 6.3?	6.3	YES	
15.	Does the national standard include requirements for documented procedures in compliance with chapter 6.3?	6.3	YES	
16.	Does the national standard include requirements for record keeping in compliance with chapter 6.4?	6.4	YES	
17.	Does the national standard include requirements for human resources management and technical facilities in compliance with chapter 6.5.1 and 6.5.2?	6.5.1, 6.5.2	YES	
18.	Does the national standard include requirements for inspection and control in compliance with chapter 6.6?	6.6	YES	
19.	Does the national standard include requirements for complaint resolution procedures in compliance with chapter 6.7?	6.7	YES	
20.	Does the national standard include requirements for subcontracting in compliance with chapter 6.8?	6.8	YES	
Specification of the of the PEFC claim on "PEFC certified" material				
21.	Does the national standard include definition of certified raw material, neutral and other raw material (for the purposes of PEFC claims / labelling) in compliance with Appendix 1?	Appendix 1	YES	
PEFC Due Diligence System (DDS) for avoidance of raw material from controversial sources				
22.	Is the scope of the DDS of the national standard in compliance with Appendix 2, chapter 1?	Appendix 2, 1	YES	
23.	Does the DDS of the national standard include requirements for self-declarations of suppliers in compliance with Appendix2, chapter 2?	Appendix 2, 2	YES	

No.	Question	Reference to PEFC 2002:2010	YES / NO*	Reference to application documents
24.	Does the DDS of the national standard include requirements for risk-assessment in compliance with Appendix2, chapter 3?	Appendix 2, 3	YES	
25.	Does the DDS of the national standard include requirements for management of high-risk supplies in compliance with Appendix2, chapter 3?	Appendix 2, 4	YES	
Implementation of chain of custody by multisite organisations (only for standards which include rules for multisite or group certification)				
26.	Does the national standard define the multisite organisation in compliance with chapter 2 of Appendix 3?	Appendix 3, 2	YES	
27.	Does the national standard include general criteria for multi requirements in compliance with chapter 3.1 of Appendix 3?	Appendix 3, 3.1	YES	
28.	Does the national standard include requirements for function and responsibilities of the central office in compliance with chapter 3.2.1 of Appendix 3?	Appendix 3, 3.2.1	YES	
29.	Does the national standard include requirements for function and responsibilities of sites connected to a multisite organisation in compliance with chapter 3.2.2 of Appendix 3?	Appendix 3, 3.2.2	YES	
Social, health and safety requirements in chain of custody				
30.	Does the national standard include social, health and safety requirements in compliance with chapter 2 of Appendix 4?	Appendix 4, 2	YES	

* If the answer to any question is no, the application documentation shall indicate for each element why and what alternative measures have been taken to address the element in question.

PART VI: MINIMUM REQUIREMENTS CHECKLIST FOR SCHEME SPECIFIC CHAIN OF CUSTODY STANDARDS – COMPLIANCE WITH ANNEX 4 OF PEFC TD

1 SCOPE

Part VI is used for the assessment of scheme specific chain of custody standards against Annex 4 of the PEFC Technical Document (*Chain of Custody of Forest Based Products - Requirements*)

On 16th November 2010 the PEFC General Assembly adopted PEFC ST 2002:2010 (*Chain of Custody of Forest Based Products - Requirements*) as revised PEFC Chain of Custody standard, replacing Annex 4 after a one year transition period until 16th November 2011.

Until 16th November 2011, scheme specific chain of custody standards may be assessed against either of the standards. After 16th November 2011, scheme specific chain of custody standards will only be assessed for compliance with PEFC ST 2002:2010 (Part V).

Uninvolved in Belgium

PEFC ST 2002:2010 (*Chain of Custody of Forest Based Products - Requirements*) = PEFC BELGIUM 1003:2012

2 CHECKLIST

No.	Question	Reference to PEFC Council PROCEDURES	YES / NO*	Reference to application documents
Requirements for chain of custody process – physical separation method				
1.	Does the national C-o-C standard require identification and verification of the category of origin for all procured products in compliance with chapter 2.2.1 and 2.2.2?	Annex 4, 2.2.1, 2.2.2	YES	
2.	Does the national C-o-C standard require separation of the certified raw material in compliance with chapter 2.3?	Annex 4, 2.3	YES	
3.	Does the national C-o-C standard require information delivered to customer at the point of sale of certified products in compliance with chapter 2.4.1 and 2.4.2?	Annex 4, 2.4.1, 2.4.2	YES	
4.	Does the national standard require that usage of the logo or label shall be carried out according to the terms and conditions of the logo / label copyright owner?	Annex 4, 2.4.3	YES	
Requirements for the chain of custody process – percentage based methods				
5.	Does the national standard require that the requirements for C-o-C process shall be implemented for the production batch defined in compliance with chapters 3.1.1 and 3.1.2?	Annex 4, 3.1.1, 3.1.2	YES	
6.	Does the national C-o-C standard require identification and verification of the category of origin for all procured products in compliance with chapter 3.2.1 and 3.2.2?	Annex 4, 3.2.1, 3.2.2	YES	

No.	Question	Reference to PEFC Council PROCEDURES	YES / NO*	Reference to application documents
7.	Does the national standard include a calculation formula for the certification percentage, which is compatible with the formula of chapter 3.3?	Annex 4, 3.3	YES	
8.	Does the national standard include an average percentage method in compliance with chapter 3.4.1?	Annex 4, 3.4.1	YES	
9.	Does the national standard include a volume credit method in compliance with chapter 3.4.2?	Annex 4, 3.4.2	YES	
10.	Does the national C-o-C standard require information delivered to customer at the point of sale of certified products in compliance with chapter 3.5.1 and 3.5.2?	Annex 4, 3.5.1, 3.5.2	YES	
11.	Does the national standard require that usage of the logo or label shall be carried out according to the terms and conditions of the logo / label copyright owner?	Annex 4, 3.5.3	YES	
12.	Does the national standard include measures to ensure that the certified products do not include raw material from controversial sources in compliance with chapter 3.6 and Appendix 7 to Annex 4?	Annex 4, 3.6; Appendix 7	YES	
Minimum management system requirements				
13.	Does the national standard require management responsibilities for the organisation's top management in compliance with chapter 4.2.1?	Annex 4, 4.2.1	YES	
14.	Does the national standard include requirements for responsibilities and authorities for C-o-C in compliance with chapter 4.2.2?	Annex 4, 4.2.2	YES	
15.	Does the national standard include requirements for documented procedures in compliance with chapter 4.3?	Annex 4, 4.3	YES	
16.	Does the national standard include requirements for record keeping in compliance with chapter 4.4?	Annex 4, 4.4	YES	
17.	Does the national standard include requirements for human resources management and technical facilities in compliance with chapter 4.5?	Annex 4, 4.5	YES	
18.	Does the national standard include requirements for inspection and control in compliance with chapter 4.6?	Annex 4, 4.6	YES	
PEFC specification for the origin for the purposes of PEFC label and declaration				

No.	Question	Reference to PEFC Council PROCEDURES	YES / NO*	Reference to application documents
19.	Does the national standard include definition of certified raw material, neutral and other raw material (for the purposes of PEFC claims / labelling) in compliance with Appendix 1?	Annex 4, Appendix 1	YES	
Implementation of chain of custody in multi-site organisation (only for standards which include rules for multi-site or group certification)				
20.	Does the national standard include requirements for function and responsibilities of the central office in compliance with Appendix 4?	Annex 4, Appendix 4	YES	
21.	Does the national standard include requirements for function and responsibilities of the central office in compliance with Appendix 4?	Annex 4, Appendix 4	YES	

PEFC specification of the origin for the purposes of PEFC label and declarations covering recycled raw material (only for standards which allow claims to be made on recycled raw material)				
22.	Does the national standard include definition of certified raw material, neutral and other raw material for certified and recycled raw material (for the purposes of PEFC claims / labelling) in compliance with Appendix 6?	Annex 4, Appendix 6	YES	
PEFC specification of the origin for the purposes of PEFC label and declarations on non wood forest products (only for standards which are applicable to non wood forest products)				
23.	Does the national standard include definition of certified raw material, neutral and other raw material for non wood forest products (for the purposes of PEFC claims / labelling) in compliance with Appendix 8?	Annex 4, Appendix 8	YES	

* If the answer to any question is no, the application documentation shall indicate for each element why and what alternative measures have been taken to address the element in question.