

PEFC/18-1-1

PEFC Italy Re- Endorsement 2016–2021

Application presented in May 2016

Annex 9:

Presentation of the Proceedings of the FORUM on Criteria Identification for Sustainable Forest Management (SFM) and Sustainable tree Plantation Management (SPM) at the National level

Contents

1. Introduction	4
2. The SFM and <i>SPM</i> standards 2016-2021	4
2.1 The structure.....	4
3.1 Elements of the FORUM	5
3.2 Organization of Forum Proceedings	5
3.3. The Forum's Development Activities	8
3.4 Stakeholders invited to participate to the Working Group for SFM and SPM standard revision	9
3.5 Panel of experts for Forum conflict resolution and external advisors	10
4. Description of the main Phases of the Forum	11
5. Final Documentation by PEFC Italy	11
Appendix 1 - STANDARD REVISION SETTING PROCESS	12
Introduction	12
Scope	12
Purpose	12
Effective date	12
References	13
Terms & definitions	13
A. General Provisions	13
A1 Independence	13
B. Participatory Process	13
B1 Introduction	14
B2 The Forum Working Group.....	14
B3 Transparency	14
B4 Consultation	15
B5 Consensus	15
B6 Drafting	15
B7 Records	15
C. Development of the decision process	16
C1 Decision making	16
C2 Decision to approve the standard.....	16
C3 Dispute resolution.....	16

Synthesis

The development of a process for the revision of PEFC Italy SFM and SPM national standards was delegated by the General Assembly of PEFC Italy to the Board of Directors and endorsed by Federforeste (Organisation of national forest owners' organisations); PEFC Italy Secretariat coordinated the work, which lasted for more than one calendar year. The development of the certification standards has been independent from the certification and accreditation process.

The Forum was carried out at a national level and all relevant interested parties representing the different aspects of sustainable forest management were invited to participate in the standard-setting process.

The SFM and SPM certification standards were drafted to be applied at individual and group level, at one hand, and at regional level, on the other hand. Two different documents were created to cover the two levels. The Pan European Operational Level Guidelines (PEOLG) formed the reference base from which the national and regional criteria were elaborated

1. Introduction

In 2014, PEFC Italy appointed, for the development of the third edition of the Italian PEFC standards and with support of the forest owners' organization Federforeste, a broadly based Working Group (the Forum) which had responsibility for ensuring its revision and alignment with the requirements of PEFC forest certification scheme as well as to take into account both experience from its application and new information that has arisen from the relevant scientific fields.

All the revisions of the general PEFC requirements for standard setting and implementation in the national standards were implemented by PEFC Italy.

This document describes the process to develop the third revised editions.

2. The SFM and SPM standards 2016-2021

This standard was the product of an inclusive and transparent process, which has involved a balanced representation from the Italian forestry, social and environmental community. It has been designed to ensure that it reflects the requirements of Italian legislation, the PEOLG adopted by European Forestry Ministers, the PEFC ST 1001:2010, the PEFC ST 1003:2010, and the requests by forestry practitioners, forest owners and managers, forest industries and other stakeholders that produced a number of indicators through the Forum.

2.1 The structure

The Criteria and Indicators adopted in the 2016-2021 endorsed Italian PEFC Certification Scheme are compatible with the documents written by PEFC Council. Therefore, these requirements have given rise to the Forum, which has developed a framework for verifying coverage of the national situation. All types of natural and semi-natural forests and management systems which exist in Italy were considered. Plantations were also considered in this document, but in a separate document since Italian legislation (D.lgs 227/2001) considers forestry sector under different normative rules from tree plantation sector, the latter being connected to agricultural sector.

A fundamental pre-requirement for a correct implementation of the Italian PEFC certification schemes has been the necessity to respect national laws and/or regulations, policies, plans and any other requirement related to the certification criteria eventually provided at national, regional and local levels.

Mechanisms for periodic future revision of the national standards to reflect new scientific knowledge have been adopted with the goal of constant improvement to follow the same rules of transparency described in Appendix 1.

3.1 Elements of the FORUM

The Forum's development is characterised by the following elements:

- 1) the transparency - exhibited during the certification criteria revision phase and obtained by putting the relevant documents at the disposal of all who requested documents, and publishing them on the PEFC Italy website. These documents are always available at the page: <http://www.pefc.it/documenti/forum-gestione-forestale-sostenibile-2015>
- 2) the consensus (as described in PEFC GL 5/2006) - with which decisions were made during the preparation of the documents, this element has been an important objective for winning the credibility and respect for the final standard; and
- 3) the public consultation - an adequate process that, before the beginning of the Forum and before a final decision, has always provided a minimum two month period for the dissemination of documents including the certification criteria proposed and all public comment.

3.2 Organization of Forum Proceedings

The organization and the procedures of the Forum are described in Appendix 1 "Standard Revision Setting Process". The Standard Revision Setting Process defines the procedures and process requirements for the revision of the Italian PEFC Standards and was presented at the first meeting of the Forum on 12th December 2014 and approved at the second meeting of the Forum the 28th January 2015.

Standard setting process had been co-ordinated by the Secretariat of PEFC Italy.

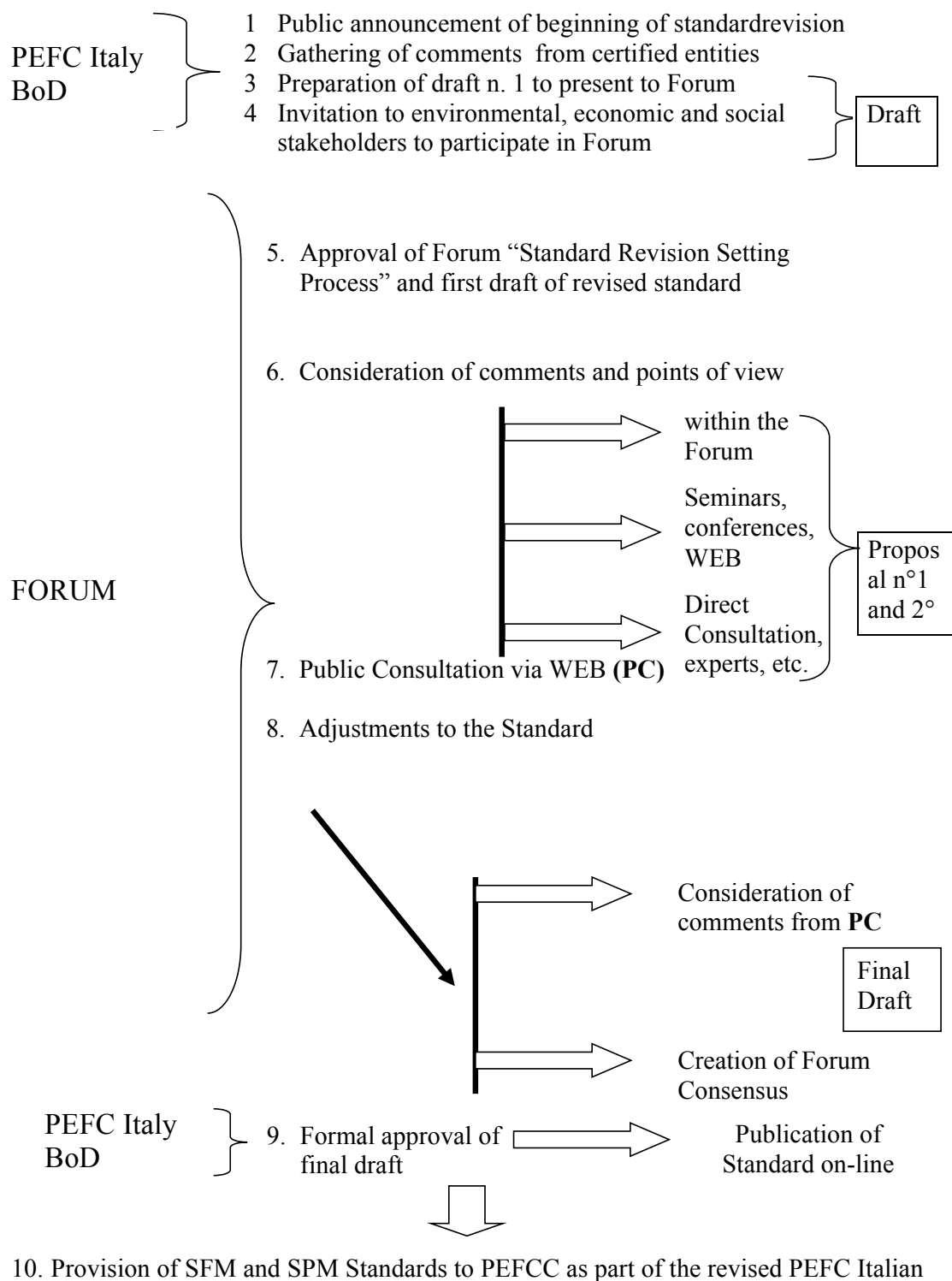
Each phase of the Standard revision setting process was organized as described in the following table:

Phase of the Standard revision	Description
1	Public announcement of beginning of standard revision
2	Gathering of comments from certified entities, certified bodies and stakeholders
3	Preparation of draft n. 1 to present to Forum
4	Invitation to environmental, economic and social stakeholders to participate in Forum
5	Approval of Forum "Standard Revision Setting Process" and draft of revised standard
6	Consideration of comments and points of view
7	Public Consultation via WEB for formal national consultation
8	Adjustments of the Standard
9	Formal approval by PEFC Italy BoD
10	Provision of SFM Standard to PEFC as part of the revised PEFC Italian scheme

In Figure n. 1, each phase had been placed so as to correspond with the Body that was entitled to be responsible for its implementation.

Each Phase (or group of Phases) produced an output, generally a draft of the SFM *and* SPM Standards, as described in Figure n. 1.

Figure 1: PEFC Italy's Process for SFM standard revision



3.3. The Forum's Development Activities

This is a brief tabular presentation of the main phases and their dates covering the Forum's development of the PEFC Italy standards. Note that each Phase has a number as a phase code and relates to the numbers indicated in Figure 1:

Period	Phase code	Responsible	Description of activity	Result
9/05/2014	1	PEFC Italy GA	Announcement of revision of Standard and invitation to stakeholders to be part of the Forum	BoD is charged with the beginning of the standard revision – announcement on PEFC Italy site on www.pefc.it
9/05/2014	1	PEFC Italy BoD	PEFC Italy requests the Forest owners' organization to help in organizing the Forum	Federforeste is charged with the invitation of stakeholders to the Forum
5/09/2014 – till the end of November 2014	2	PEFC Italy Secretary	Forest owners consultation, comments gathering and search of stakeholders to the Forum	Production of a draft for further comments Contacts with stakeholders via mails and telephone calls
6/11/2014	4	PEFC Italy Secretary	Invitation to Stakeholders to participate in Forum	Letters and mail to stakeholders
9/12/2014	3	PEFC Italy Secretary	Publication of a final draft on PEFC Italy site on www.pefc.it	Request of comments to the final draft from stakeholders and civil society. Document on PEFC Italy site on www.pefc.it
12/12/2014	6	Forum	First meeting with web-conference	Presentation of Forum Standard Revision Setting Process and previous standards.
28/01/2015	5 6	Forum	Second meeting in Bologna: Approval of Forum Standard Revision Setting Process and first proposals	Discussion on the 1 st draft
February - March 2015	6	Forum	Consultation by mail of the components of the Forum and public consultation via via WEB	Gathering of comments produced by Forum members
10 March 2015	6	Forum	Meeting with webconference:	Publication 1 st proposal of Standard on PEFC Italy site on www.pefc.it
22 April 2015	6	Forum	Meeting in Bologna: final discussions for the draft document to be presented at public consultation	Preparation of the 2 nd proposal and its approval to be presented at public consultation
3/06/2015	7	Forum	Beginning phase of Public Consultation	Official presentation on PEFC Italy site on www.pefc.it
3 June – 17 August 2015	7	PEFC Italy Secretary	Public consultation on Web	Gathering of comments

August – October 2015	8	Forum	Elaboration of comments and production of Final draft	Elaboration of final standard
28 October 2015	9	PEFC Italy BoD	Approval of standard	Definition of final standard
November 2015	9	Forum	Sharing of final results to the Forum	Approval of final standard
4 November 2015	9	PEFC Italy Secretariat	Formal communication about the standard	Publication of standard online on www.pefc.it
November 2015 – February 2016		PEFC Italy Secretariat	Translation of all documents	Documents translated
May 2016	10	PEFC Italy	Presentation to PEFC Council of SFM Standard	Production of all documentation for the Re-endorsement

3.4 Stakeholders invited to participate to the Working Group for SFM and SPM standard revision

In 2014, PEFC Italy appointed, for the third edition of the Italian standards, a broadly based Working Group (the Forum) which has responsibility for ensuring its revision starting from the 2010-2015 SFM standard, taking in account both experience from its application and new information that has arisen from the relevant scientific fields. The 28th of May 2014, PEFC Italy requested a one-year extension of Italian scheme validity. The 12th December PEFC Italy obtained the one-year extension of Italian scheme validity until the 28th July 2016.

During the second meeting of the Forum hold in Bologna on 28 January 2015, the representative from Provincia Autonoma Trento was elected as President of the Forum.

This is a table that summarizes the stakeholders or constituency interests along with number of positions allocated to each constituency, the organizations (members) invited to participate in the Working Group and the representatives from those organizations that constitute the Forum for the revision of the SFM *and* SPM standards of PEFC Italy.

Constituency and maximum number of appointments to Steering Group	Constituency Members	Name Organizations	Name
Woodland owners and Consortium	Public Administration	Provincia Autonoma Trento	Francesco Dellagiacoma
	Public Administration	Provincia Autonoma Bolzano	Marco Pietrogiovanna
	Private and Public Forest Consortia	Federforeste	Pietro Torchio
		Confagricoltura	Concezio Gasbarro
	Private and Public Forest Consortia	Consorzio Amiata	Francesca Galli
	Private forest owners Organization	Aziende Agricole diocesane riunite Srl	Leonardo Nocentini

	Poplar growing	Asprolegno*	Fabio Fracchia
	Regional (Ordinary) Administration	Regione Veneto	Giovanni Carraro e Isabella Pasutto
	Regional (Ordinary) Administration	Regione Emilia Romagna	Enzo Valbonesi
	Regional (Special) Administration	Regione Friuli Venezia Giulia*	Maria Cristina D'Orlando
Wood processing industry	Private Organizations	Federlegno-Arredo	Claudio Garrone
		Conlegno	Maria Rita Gallozzi
Environmental organisations	National organization	Legambiente	Federica Barbera
	National organization	Amici della Terra	Monica Tommasi
	National organization	FareAmbiente	Massimo Scarpetta
Forestry practitioners and forest workers (S)	National organization	Colafor	Gaspar Rino Talucci
	National organization	Conaibo	Livio Bozzolo e Giulio Zanetti
	Regional organization	Legnoservizi	Daniele Peresson
Forest consultants organisations	Public organization	CONAF	Mattia Busti
	Private organization	Geoponica*	Alessandro Pansecco/Carlotta De Zio
	Private organization	Consorzio regionale forestale FORESTARE	Benito Scazziota
Consumers and civil society	National Consumer Organization	Aalsea*	Paolo Mori
	Associazione culturale tecnica	AIAF (Associazione italiana agroforestazione)*	Michele Salviato
	Associazione zona rurale e Montana	ForestAmica	Claudio Cervellati
Other	Ente Normatore	PEFC Italia*	Antonio Brunori/ Eleonora Mariano
	Accademia e Università	Accademia di Scienze Forestali	Davide Travaglini
	CNR	IVALSA Sesto Fiorentino	Carla Nati
	CRA	Unità di Ricerca per le Produzioni Legnose Fuori Foresta*	Lorenzo Vietto e Domenico Coaloa Achille Giorcelli
	Coldiretti	Coldiretti – Sez.pioppo*	Stefano Leporati
	Unprofor	Unprofor	Andrea Montresor

* Organization that were participating at the SPM meetings, too.

3.5 Panel of experts for Forum conflict resolution and external advisors

A Group of three experts was appointed by PEFC Italy, to solve any conflicts expressed inside the Forum (Appendix 1, Ch. C3).

The "Panel of experts for conflict resolution" was chaired by Filippo Brun (Professor of Forest economy at University of Turin) with the other two members being Pierluigi Bortoli (former Director of Forest Service in Regional Administration of Friuli Venezia Giulia) and Sandro Castelli (former Director of PEFC Italia and CONAF - National Council of Professional Foresters and Agronomists). The external advisors group is composed by: Raoul Romano (CRA INEA); Renato Calì (Adiconsum); Tullio Polo (Confartigianato Trento).

4. Description of the main Phases of the Forum

As described in Figure 1, the Forum decided to meet four times: two in Bologna and two with SkyMeeting, an online platform for web conferences. In all of the meetings, the legal number or quorum of representatives was reached.

In the revision of ITA 1001-1 and ITA 1001-2, the PEFC ST 1003:2010 was taken as basis for the identification of normative and descriptive Indicators.

All decisions were based on "consensus", as described in Appendix 1, Ch. C2 "Decision to approve the standard". Very few topics (as described in the Minutes of the meetings) needed to reach a compromise based on consensus, since most of the decisions were approved by a large majority of the votes of the representatives.

All draft documents produced by the Forum (ITA 1000; ITA 1001-1; ITA 1004; ITA 1004-1) were published in the internet site of PEFC Italy, in the specific Forum area allocated as an information site for the re-endorsement process for the Italian PEFC standards: <http://www.pefc.it/documenti/forum-gestione-forestale-sostenibile-2015>.

The "Panel of Experts" and the external advisors were not involved in the revision process as the Forum did not need its intervention on any issues.

The second proposal was published via Internet on the PEFC Italy website from June 3th to August 17th 2015 for 2 months and 15 days. At the conclusion of that time, a number of requests for the integration of specific comments were received during the Public Consultation phase. Such requests were all adopted by PEFC Italy BoD and approved by the FORUM members.

5. Final Documentation by PEFC Italy

Following the approval, initially by Forum participants and consequently by PEFC Italy BoD, the revised Standard for SFM (named ITA 1000; ITA 1001-1) and SPM (named ITA 1004; ITA 1004-1) were officially published on internet site at link: <http://www.pefc.it/documenti/forum-gestione-forestale-sostenibile-2015> and translated in English language to be presented for the re-endorsement to PEFCC.