

PEFC Italy Re- Endorsement 2016-2021

Application presented in May 2016

ANNEX 13

Check lists

Standard setting requirements

Standard and System Requirement Checklist for Group FOREST MANAGEMENT

Standard and System Requirement Checklist for SUSTAINABLE FOREST MANAGEMENT

Part I: Standard and System Requirement Checklist for standard setting (PEFC ST 1001:2010)

NB: The sustainable plantation management follows the sustainable forest management requirements. Whenever the SPM requirements and procedures are missing in the referring documentation (ITA 1004), ITA 1000 will have to be considered.
For example all prescribing requirements connected to the standard revision process are present only in "Annex 1 – Appendix 1".

Question	Assess. basis*	YES / NO*	Reference to application documents
Standardising Body			
4.1 The standardising body shall have written procedures for standard-setting activities describing:			
a) its status and structure, including a body responsible for consensus building (see 4.4) and for formal adoption of the standard (see 5.11),	Procedures	Y	Annex 9
b) the record-keeping procedures,	Procedures	Y	Annex 9
c) the procedures for balanced representation of stakeholders,	Procedures	Y	Annex 9
d) the standard-setting process,	Procedures	Y	Annex 9
e) the mechanism for reaching consensus, and	Procedures	Y	Annex 9
f) revision of standards/normative documents.	Procedures	Y	Annex 1
4.2 The standardising body shall make its standard-setting procedures publicly available and shall regularly review its standard-setting procedures including consideration of comments from stakeholders.	Procedures	Y	Annex 9
	Process	Y	Annex 12
4.3 The standardising body shall keep records relating to the standard-setting process providing evidence of compliance with the requirements of this document and the standardising body's own procedures. The records shall be kept for a minimum of five years and shall be available to interested parties upon request.	Procedures	Y	Annex 9
	Process	Y	PEFC Italy website: http://www.pefc.it/documenti/forum-gestione-foresta-sostenibile-2015 Annex 12
4.4 The standardising body shall establish a permanent or temporary working group/committee responsible for standard-setting activities.	Procedures	Y	Annex 9
	Process	Y	Annex 12
4.4 The working group/committee shall:			

Question	Assess. basis*	YES / NO*	Reference to application documents
a) be accessible to materially and directly affected stakeholders,	Procedures	Y	The wg is represented by all the stakeholders
	Process	Y	Annex 12
b) have balanced representation and decision-making by stakeholder categories relevant to the subject matter and geographical scope of the standard where single concerned interests shall not dominate nor be dominated in the process, and	Procedures	Y	Annex 1 – Appendix 1
	Process	Y	Annex 12.5
c) include stakeholders with expertise relevant to the subject matter of the standard, those that are materially affected by the standard, and those that can influence the implementation of the standard. The materially affected stakeholders shall represent a meaningful segment of the participants.	Procedures	Y	Annex 1 – Appendix 1
	Process	Y	Annex 12.5
4.5 The standardising body shall establish procedures for dealing with any substantive and procedural complaints relating to the standardising activities which are accessible to stakeholders.	Procedures	Y	Annex 1 – Appendix 1
	Process	Y	Annex 12
4.5 Upon receipt of the complaint, the standard-setting body shall:			
a) acknowledge receipt of the complaint to the complainant,	Procedures	Y	Annex 1 – Appendix 1 – C3
	Process	Y	Annex 12
b) gather and verify all necessary information to validate the complaint, impartially and objectively evaluate the subject matter of the complaint, and make a decision upon the complaint, and	Procedures	Y	Annex 1 – Appendix 1 – C3
	Process	Y	Annex 12
c) formally communicate the decision on the complaint and of the complaint handling process to the complainant.	Procedures	Y	Annex 1 – Appendix 1 – C3
	Process	Y	Annex 12
4.6 The standardising body shall establish at least one contact point for enquiries and complaints relating to its standard-setting activities. The contact point shall be made easily available.	Procedures	Y	In all communication PEFC Italy Secretary General is identified as focal point
Standard-setting process			
5.1 The standardising body shall identify stakeholders relevant to the objectives and scope of the standard-setting work.	Procedures	Y	Annex 1 – Appendix 1 – B1
	Process	Y	Annex 12
<div>PEFC IGD 1007-01:2012</div> <div>3</div>			

Question	Assess. basis*	YES / NO*	Reference to application documents
5.2 The standardising body shall identify disadvantaged and key stakeholders. The standardising body shall address the constraints of their participation and proactively seek their participation and contribution in the standard-setting activities.	Procedures	Y	Annex 1 – Appendix 1 – B1
	Process	Y	Annex 12
5.3 The standardising body shall make a public announcement of the start of the standard-setting process and include an invitation for participation in a timely manner on its website and in suitable media as appropriate to afford stakeholders an opportunity for meaningful contributions.	Procedures	Y	Annex 1 2.2.2.4
	Process	Y	Annex 12.1
5.3 The announcement and invitation shall include:			
a) information about the objectives, scope and the steps of the standard-setting process and its timetable,	Procedures	Y	Annex 1 2.2.2.4
	Process	Y	Annex 12.1
b) information about opportunities for stakeholders to participate in the process,	Procedures	Y	Annex 1 2.2.2.4
	Process	Y	Annex 12.1
(c) an invitation to stakeholders to nominate their representative(s) to the working group/committee. The invitation to disadvantaged and key stakeholders shall be made in a manner that ensures that the information reaches intended recipients and in a format that is understandable,	Procedures	Y	Annex 1 2.2.2.4
	Process	Y	Annex 12.1
d) an invitation to comment on the scope and the standard-setting process, and	Procedures	Y	Annex 1 2.2.2.4
	Process	Y	Annex 12.1
e) reference to publicly available standard-setting procedures.	Procedures	Y	Annex 1 2.2.2.4
	Process	Y	Annex 12.1
5.4 The standardising body shall review the standard-setting process based on comments received from the public announcement and establish a working group/committee or adjust the composition of an already existing working group/committee based on received nominations. The acceptance and refusal of nominations shall be justifiable in relation to the requirements for balanced representation of the working group/committee	Procedures	Y	Annex 1 6.1
	Process	Y	Annex 12.3

Question	Assess. basis*	YES / NO*	Reference to application documents
and resources available for the standard-setting.			
5.5 The work of the working group/committee shall be organised in an open and transparent manner where:			
a) working drafts shall be available to all members of the working group/committee,	Procedures	Y	Annex 1 6.2.5
	Process	Y	Annex 12 PEFC Italy website: http://www.pefc.it/documenti/forum-gestione-forestale-sostenibile-2015
b) all members of the working group shall be provided with meaningful opportunities to contribute to the development or revision of the standard and submit comments to the working drafts, and	Procedures	Y	Annex 1 6.2.5
	Process	Y	Annex 12 PEFC Italy website: http://www.pefc.it/documenti/forum-gestione-forestale-sostenibile-2015
c) comments and views submitted by any member of the working group/committee shall be considered in an open and transparent way and their resolution and proposed changes shall be recorded.	Procedures	Y	Annex 1 6.2.5
	Process	Y	Annex 12 PEFC Italy website: http://www.pefc.it/documenti/forum-gestione-forestale-sostenibile-2015
5.6 The standardising body shall organise a public consultation on the enquiry draft and shall ensure that:			
a) the start and the end of the public consultation is announced in a timely manner in suitable media,	Procedures	Y	Annex 1 6.2.6
	Process	Y	Annex 12.1 Annex 12.5 Annex 12.6
b) the invitation of disadvantaged and key stakeholders shall be made by means that ensure that the information reaches its recipient and is understandable,	Procedures	Y	Annex 1 6.2.6
	Process	Y	Annex 12.1 Annex 12.5 Annex 12.6

Question	Assess. basis*	YES / NO*	Reference to application documents
c) the enquiry draft is publicly available and accessible,	Procedures	Y	Annex 1 6.2.6
	Process	Y	Annex 12.1 Annex 12.5 Annex 12.6
d) the public consultation is for at least 60 days,	Procedures	Y	Annex 1 6.2.6
	Process	Y	Annex 12.1 Annex 12.5 Annex 12.6
e) all comments received are considered by the working group/committee in an objective manner,	Procedures	Y	Annex 1 6.2.6
	Process	Y	Annex 12.1 Annex 12.5 Annex 12.6
(f) a synopsis of received comments compiled from material issues, including the results of their consideration, is publicly available, for example on a website.	Procedures	Y	Annex 1 6.2.6
	Process	Y	Annex 12.1 Annex 12.5 Annex 12.6
5.7 The standardising body shall organise pilot testing of the new standards and the results of the pilot testing shall be considered by the working group/committee.	Procedures	Y	Pilot testing is not required in case of revision of a standard where experience from its usage can substitute for pilot testing
	Process	Y	
5.8 The decision of the working group to recommend the final draft for formal approval shall be taken on the basis of a consensus.	Procedures	Y	Annex 1 6.2.8
	Process	Y	Annex 12.5
5.8 In order to reach a consensus the working group/committee can utilise the following alternative processes to establish whether there is opposition:			
a) a face-to face meeting where there is a verbal yes/no vote, show of hands for a yes/no vote; a statement on consensus from the Chair where there are no dissenting voices or hands (votes); a formal balloting process, etc.,	Procedures	Y	Annex 1 6.2.8
	Process	Y	Annex 12

Question	Assess. basis*	YES / NO*	Reference to application documents
b) a telephone conference meeting where there is a verbal yes/no vote,	Procedures	Y	Annex 1 6.2.8
	Process	Y	Annex 12
c) an e-mail meeting where a request for agreement or objection is provided to members with the members providing a written response (a proxy for a vote), or	Procedures	Y	Annex 1 6.2.8
	Process	Y	Annex 12
d) combinations thereof.	Procedures	Y	Annex 1 6.2.8
	Process	Y	Annex 12
5.9 In the case of a negative vote which represents sustained opposition to any important part of the concerned interests surrounding a substantive issue, the issue shall be resolved using the following mechanism(s):			
a) discussion and negotiation on the disputed issue within the working group/committee in order to find a compromise,	Procedures	Y	Annex 1 6.2.9
	Process	Y	Annex 12
b) direct negotiation between the stakeholder(s) submitting the objection and stakeholders with different views on the disputed issue in order to find a compromise,	Procedures	Y	Annex 1 6.2.9
	Process	Y	Annex 12
c) dispute resolution process.	Procedures	Y	Annex 1 6.2.9
	Process	Y	Annex 12
5.10 Documentation on the implementation of the standard-setting process shall be made publicly available.	Procedures	Y	Annex 1 6.2.9
	Process	Y	PEFC Italy website: http://www.pefc.it/documenti/forum-gestione-forestale-sostenibile-2015
5.11 The standardising body shall formally approve the standards/normative documents based on evidence of consensus reached by the working group/committee.	Procedures	Y	Annex 1 6.2.11
	Process	Y	Annex 12
5.12 The formally approved standards/normative documents shall be published in a timely manner and made	Procedures	Y	Annex 1 6.2.11

Question	Assess. basis*	YES / NO*	Reference to application documents
publicly available.	Process	Y	PEFC Italy website: http://www.pefc.it/documenti/forum-gestione-forestale-sostenibile-2015
Revisions of standards/normative documents			
6.1 The standards/normative documents shall be reviewed and revised at intervals that do not exceed a five-year period. The procedures for the revision of the standards/normative documents shall follow those set out in chapter 5.	Process	Y	Annex 1 6.3.1
6.2 The revision shall define the application date and transition date of the revised standards/normative documents.	Process	Y	Annex 1 6.3.2
6.3 The application date shall not exceed a period of one year from the publication of the standard. This is needed for the endorsement of the revised standards/normative documents, introducing the changes, information dissemination and training.	Process	Y	Annex 1 6.3.3
6.4 The transition date shall not exceed a period of one year except in justified exceptional circumstances where the implementation of the revised standards/normative documents requires a longer period.	Process	Y	Annex 1 6.3.4

PART II: Standard and System Requirement Checklist for Group FOREST MANAGEMENT CERTIFICATION (PEFC ST 1002:2010)

Question	YES / NO*	Reference to system documentation
General		
4.1 Does the forest certification scheme provide clear definitions for the following terms in conformity with the definitions of those terms presented in chapter 3 of PEFC ST 1002:2010:		
a) the group organisation,	Y	Annex 1 1.3.1
b) the group entity,	Y	Annex 1 1.3.1
c) the participant,	Y	Annex 1 1.3.1
d) the certified area,	Y	Annex 1 1.3.1
e) the group forest certificate, and	Y	Annex 1 1.3.1
f) the document confirming participation in group forest certification.	Y	Annex 1 1.3.1
4.1.2 In cases where a forest certification scheme allows an individual forest owner to be covered by additional group or individual forest management certifications, the scheme shall ensure that non-conformity by the forest owner identified under one forest management certification is addressed in any other forest management certification that covers the forest owner.	Y	Annex 1 2.2.1.1. 2.2.1.2
4.1.3 The forest certification scheme shall define requirements for group forest certification which ensure that participants' conformity with the sustainable forest management standard is centrally administered and is subject to central review and that all participants shall be subject to the internal monitoring programme.	Y	Annex 1 2.2.1.1. 2.2.1.2
4.1.4 The forest certification scheme shall define requirements for an annual internal monitoring programme that provides sufficient confidence in the conformity of the whole group organisation with the sustainable forest management standard.	Y	Annex 1 2.2.1.1. 2.2.1.2
Functions and responsibilities of the group entity		
4.2.1 The forest certification scheme shall define the following requirements for the function and responsibility of the group entity:		
a) To represent the group organisation in the certification process, including in communications and relationships with the certification body, submission of an application for certification and to maintain an equal relationship with the certification	Y	Annex 1 2.2.1.1.

Question	YES / NO*	Reference to system documentation
body;		2.2.1.2
b) To provide a commitment on behalf of the whole group organisation to comply with the sustainable forest management standard and other applicable requirements of the forest certification scheme;	Y	Annex 1 2.2.1.1. 2.2.1.2
c) To establish written procedures for the management of the group organisation;	Y	Annex 1 2.2.1.1. 2.2.1.2
d) To keep records of: <ul style="list-style-type: none"> - the group entity and participants' conformity with the requirements of the sustainable forest management standard, and other applicable requirements of the forest certification scheme, - all participants, including their contact details, identification of their forest property and its/their size(s), - the certified area, - the implementation of an internal monitoring programme, its review and any preventive and/or corrective actions taken; 	Y	Annex 1 2.2.1.1. 2.2.1.2
e) To establish connections with all participants based on a written agreement which shall include the participants' commitment to comply with the sustainable forest management standard. The group entity shall have a written contract or other written agreement with all participants covering the right of the group entity to implement and enforce any corrective or preventive measures, and to initiate the exclusion of any participant from the scope of certification in the event of non-conformity with the sustainable forest management standard;	Y	Annex 1 2.2.1.1. 2.2.1.2
f) To provide participants with a document confirming participation in the group forest certification;	Y	Annex 1 2.2.1.1. 2.2.1.2
g) To provide all participants with information and guidance required for the effective implementation of the sustainable forest management standard and other applicable requirements of the forest certification scheme;	Y	Annex 1 2.2.1.1. 2.2.1.2
h) To operate an annual internal monitoring programme that provides for the evaluation of the participants' conformity with the certification requirements, and;	Y	Annex 1 2.2.1.1. 2.2.1.2
i) To operate a review of conformity with the sustainable forest management standard, that includes reviewing the results of the internal monitoring programme and the certification body's evaluations and surveillance; corrective and preventive measures if required; and the evaluation of	Y	Annex 1 2.2.1.1. 2.2.1.2

Question	YES / NO*	Reference to system documentation
the effectiveness of corrective actions taken.		
Function and responsibilities of participants		
4.3.1 The forest certification scheme shall define the following requirements for the participants:		
a) To provide the group entity with a written agreement, including a commitment on conformity with the sustainable forest management standard and other applicable requirements of the forest certification scheme;	Y	Annex 1 2.2.1.1.1 2.2.1.2.1
b) To comply with the sustainable forest management standard and other applicable requirements of the forest certification scheme;	Y	Annex 1 2.2.1.1.1 2.2.1.2.1
c) To provide full co-operation and assistance in responding effectively to all requests from the group entity or certification body for relevant data, documentation or other information; allowing access to the forest and other facilities, whether in connection with formal audits or reviews or otherwise;	Y	Annex 1 2.2.1.1.1 2.2.1.2.1
d) To implement relevant corrective and preventive actions established by the group entity.	Y	Annex 1 2.2.1.1.1 2.2.1.2.1

PART III: Standard and System Requirement Checklist for SUSTAINABLE FOREST MANAGEMENT (PEFC ST 1003:2010)

Checklist

If not specified, for SFM we are referring to PEFC ITA 1001-1 and for SPM we are referring to PEFC ITA 1004-1.

Question	YES / NO*	Reference to scheme documentation SFM and SPM
4.1 The requirements for sustainable forest management defined by regional, national or sub-national forest management standards shall		
a) include management and performance requirements that are applicable at the forest management unit level, or at another level as appropriate, to ensure that the intent of all requirements is achieved at the forest management unit level.	Y	Annex 1 – 2.2.1 (2.2.1.1 e – 2.2.1.2 e – 2.2.1.3 g)
b) be clear, objective-based and auditable.	Y	Each normative requirement has measurable threshold - See Annex 1, 2 4, 5
c) apply to activities of all operators in the defined forest area who have a measurable impact on achieving compliance with the requirements.	Y	Annex 1 – 2.2.1 (2.2.1.1.1 e – 2.2.1.2.1 e – 2.2.1.3 f)
d) require record-keeping that provides evidence of compliance with the requirements of the forest management standards.	Y	Annex 1 3.1 (and following)

Specific requirements for SFM standards		
Criterion 1: Maintenance and appropriate enhancement of forest resources and their contribution to the global carbon cycle		
5.1.1 Forest management planning shall aim to maintain or increase forests and other wooded areas and enhance the quality of the economic, ecological, cultural and social values of forest resources, including soil and water. This shall be done by making full use of related services and tools that support land-use planning and nature conservation.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 1.1 a • I 1.1 b SPM NA: All Italian plantation are planted in agricultural soil. No land use change is allowed by Italian legislation.
5.1.2 Forest management shall comprise the cycle of inventory and planning, implementation, monitoring and evaluation, and shall include an appropriate assessment of the social, environmental and economic impacts of forest management operations. This shall form a basis for a cycle of continuous improvement to minimise or avoid negative impacts.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • GL 1.3 • I 3.1 b • All indicators Criterion 6, in particular I 6.3 a and 6.6 a

		SPM <ul style="list-style-type: none"> ITA 1004 3.2 (SPM improvement program).
5.1.3 Inventory and mapping of forest resources shall be established and maintained, adequate to local and national conditions and in correspondence with the topics described in this document.	□	SFM <ul style="list-style-type: none"> I 3.1 b
		SPM <ul style="list-style-type: none"> I 1.B planning

Question	YES / NO*	Reference to scheme documentation
5.1.4 Management plans or their equivalents, appropriate to the size and use of the forest area, shall be elaborated and periodically updated. They shall be based on legislation as well as existing land-use plans, and adequately cover the forest resources.	<input type="checkbox"/>	SFM <ul style="list-style-type: none">• GL 1.3
		SPM <ul style="list-style-type: none">• I 1.C planning
5.1.5 Management plans or their equivalents shall include at least a description of the current condition of the forest management unit, long-term objectives; and the average annual allowable cut, including its justification and, where relevant, the annually allowable exploitation of non-timber forest products.	<input type="checkbox"/>	SFM <ul style="list-style-type: none">• I 3.1 b• Italian normative (n° 3267/23) makes mandatory the realisation of land-use plans
		SPM <ul style="list-style-type: none">• I 3.C planning
5.1.6 A summary of the forest management plan or its equivalent appropriate to the scope and scale of forest management, which contains information about the forest management measures to be applied, is publicly available. The summary may exclude confidential business and personal information and other information made confidential by national legislation or for the protection of cultural sites or sensitive natural resource features.	<input type="checkbox"/>	SFM <ul style="list-style-type: none">• Ita 1000 2.2.1.1 v)• Ita 1000 2.2.1.2 u)• Ita 1000 2.2.1.3 r)
		SPM <ul style="list-style-type: none">• Ita 1004 2.2.1.1 o) 2.2.1.2 p)
5.1.7 Monitoring of forest resources and evaluation of their management shall be periodically performed, and results fed back into the planning process.	<input type="checkbox"/>	SFM <ul style="list-style-type: none">• GI 1.3
		SPM <ul style="list-style-type: none">• I 1.C planning
5.1.8 Responsibilities for sustainable forest management shall be clearly defined and assigned.	<input type="checkbox"/>	SFM <ul style="list-style-type: none">• Ita 1000 2.2.1
		SPM <ul style="list-style-type: none">• Ita 1004 2.2.1.1 2.2.1.2
5.1.9 Forest management practices shall safeguard the quantity and quality of the forest resources in the medium and long term by balancing harvesting and growth rates, and by preferring techniques that minimise direct or indirect damage to forest, soil or water resources.	<input type="checkbox"/>	SFM <ul style="list-style-type: none">• GI 1.1• I 1.1 a• I 1.1 b
		SPM <ul style="list-style-type: none">• 1A planning• 1B planning
5.1.10 Appropriate silvicultural measures shall be taken to maintain or reach a level of the growing stock that is economically, ecologically and socially desirable.	<input type="checkbox"/>	SFM <ul style="list-style-type: none">• GI 1.1• I 1.1 a• I 1.1 b
		SPM <ul style="list-style-type: none">• 1A practice• 1B practice
5.1.11 Conversion of forests to other types of land use, including conversion of primary forests to forest plantations, shall not occur unless in justified circumstances where the conversion: a) is in compliance with national and regional policy and legislation relevant for land use and forest management	<input type="checkbox"/>	SFM <ul style="list-style-type: none">• I 1.1 a

<p>and is a result of national or regional land-use planning governed by a governmental or other official authority including consultation with materially and directly interested persons and organisations; and</p> <p>b) entails a small proportion of forest type; and</p> <p>c) does not have negative impacts on threatened (including vulnerable, rare or endangered) forest ecosystems, culturally and socially significant areas, important habitats of threatened species or other protected areas; and</p> <p>d) makes a contribution to long-term conservation, economic, and social benefits.</p>	<p>SPM</p> <ul style="list-style-type: none"> • 1C practice • 2B practice <p>(Plantation are possible only in agricultural land)</p>
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Question	YES / NO*	Reference to scheme documentation
5.1.12 Conversion of abandoned agricultural and treeless land into forest land shall be taken into consideration, whenever it can add economic, ecological, social and/or cultural value.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> GL 1.2 I 1.2 a SPM NA
5.2.1 Forest management planning shall aim to maintain and increase the health and vitality of forest ecosystems and to rehabilitate degraded forest ecosystems, whenever this is possible by silvicultural means.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> I 3.1 b GI 2.1 SPM NA
Criterion 2: Maintenance of forest ecosystem health and vitality		
5.2.2 Health and vitality of forests shall be periodically monitored, especially key biotic and abiotic factors that potentially affect health and vitality of forest ecosystems, such as pests, diseases, overgrazing and overstocking, fire, and damage caused by climatic factors, air pollutants or by forest management operations.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> I 2.1 a SPM <ul style="list-style-type: none"> 2B planning
5.2.3 The monitoring and maintaining of health and vitality of forest ecosystems shall take into consideration the effects of naturally occurring fire, pests and other disturbances.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> I 2.1 a SPM <ul style="list-style-type: none"> 2B planning
5.2.4 Forest management plans or their equivalents shall specify ways and means to minimise the risk of degradation of and damages to forest ecosystems. Forest management planning shall make use of those policy instruments set up to support these activities.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> I 3.1 b SPM <ul style="list-style-type: none"> 2C planning
5.2.5 Forest management practices shall make best use of natural structures and processes and use preventive biological measures wherever and as far as economically feasible to maintain and enhance the health and vitality of forests. Adequate genetic, species and structural diversity shall be encouraged and/or maintained to enhance the stability, vitality and resistance capacity of the forests to adverse environmental factors and strengthen natural regulation mechanisms.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> GI 2.1 I 3.1 b SPM <ul style="list-style-type: none"> 2A practice 4A planning
5.2.6 Lighting of fires shall be avoided and is only permitted if it is necessary for the achievement of the management goals of the forest management unit.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> I 2.2 a I 3.1 b SPM <ul style="list-style-type: none"> 2B planning
5.2.7 Appropriate forest management practices such as reforestation and afforestation with tree species and provenances that are suited to the site conditions or the use of tending, harvesting and transport techniques that minimise tree and/or soil damages shall be applied. The spillage of oil during forest management operations or the indiscriminate disposal of waste on forest land shall be strictly avoided. Non-organic waste and litter shall be avoided, collected, stored in designated areas and removed in an environmentally-responsible manner.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> GI 2.2 I 2.2 a SPM <ul style="list-style-type: none"> 2B practices 4B practices
5.2.8 The use of pesticides shall be minimised and	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> GI 2.1

appropriate silvicultural alternatives and other biological measures preferred.		<ul style="list-style-type: none"> • GI 2.3 • GI 2.4 • I 2.2 a
		SPM <ul style="list-style-type: none"> • 2C practices

Question	YES / NO*	Reference to scheme documentation
5.2.9 The WHO Type 1A and 1B pesticides and other highly toxic pesticides shall be prohibited, except where no other viable alternative is available.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • GI 2.2 SPM <ul style="list-style-type: none"> • 2C practices
5.2.10 Pesticides, such as chlorinated hydrocarbons whose derivatives remain biologically active and accumulate in the food chain beyond their intended use, and any pesticides banned by international agreement, shall be prohibited.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • GI 2.3 SPM <ul style="list-style-type: none"> • 2C practices
5.2.11 The use of pesticides shall follow the instructions given by the pesticide producer and be implemented with proper equipment and training.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • GI 2.4 SPM Compulsory by Italian agricultural law
5.2.12 Where fertilisers are used, they shall be applied in a controlled manner and with due consideration for the environment.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • 1.1 a SPM <ul style="list-style-type: none"> • 2C practice
Criterion 3: Maintenance and encouragement of productive functions of forests (wood and non-wood)		
5.3.1 Forest management planning shall aim to maintain the capability of forests to produce a range of wood and non-wood forest products and services on a sustainable basis.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • GI 3.2 • GI 3.3 • I 3.1 b SPM <ul style="list-style-type: none"> • 3A planning
5.3.2 Forest management planning shall aim to achieve sound economic performance taking into account any available market studies and possibilities for new markets and economic activities in connection with all relevant goods and services of forests.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 3.2 a • GI 6.1 • Ita 1001-2 3.1 c SPM <ul style="list-style-type: none"> • 3B planning
5.3.3 Forest management plans or their equivalents shall take into account the different uses or functions of the managed forest area. Forest management planning shall make use of those policy instruments set up to support the production of commercial and non-commercial forest goods and services.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • GI 3.1 • Ita 1001-2 3.1 a • Ita 1001-2 3.1 c SPM NA
5.3.4 Forest management practices shall maintain and improve the forest resources and encourage a diversified output of goods and services over the long term.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • GI 3.2 SPM <ul style="list-style-type: none"> • 3A practices
5.3.5 Regeneration, tending and harvesting operations shall be carried out in time, and in a way that does not reduce the productive capacity of the site, for example by avoiding damage to retained stands and trees as well as to the forest soil, and by using appropriate systems.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • GI 3.4 • I 3.4 a • I 3.4 b • I 5.2 b • I 5.2 a • I 5.2 c SPM <ul style="list-style-type: none"> • 3B practices

<p>5.3.6 Harvesting levels of both wood and non-wood forest products shall not exceed a rate that can be sustained in the long term, and optimum use shall be made of the harvested forest products, with due regard to nutrient off-take.</p>	<input type="checkbox"/>	<p>SFM</p> <ul style="list-style-type: none"> • I 1.1 b • I 3.1 b • I 3.2 a • I 3.3 a • GI 3.3
		<p>SPM</p> <ul style="list-style-type: none"> • 3C practices
<p>5.3.7 Where it is the responsibility of the forest owner/manager and included in forest management, the exploitation of non-timber forest products, including hunting and fishing, shall be regulated, monitored and controlled.</p>	<input type="checkbox"/>	<p>SFM</p> <ul style="list-style-type: none"> • I 3.2 a
		<p>SPM</p> <ul style="list-style-type: none"> • NA

Question	YES / NO*	Reference to scheme documentation
5.3.8 Adequate infrastructure such as roads, skid tracks or bridges shall be planned, established and maintained to ensure efficient delivery of goods and services while minimising negative impacts on the environment.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 3.5 a • I 3.5 b • SPM NA
Criterion 4: Maintenance, conservation and appropriate enhancement of biological diversity in forest ecosystems		
5.4.1 Forest management planning shall aim to maintain, conserve and enhance biodiversity on ecosystem, species and genetic levels and, where appropriate, diversity at landscape level.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 3.1 b • I 4.2 a • I 4.2 c • I 4.8 b • I 4.8 c SPM NA
5.4.2 Forest management planning, inventory and mapping of forest resources shall identify, protect and/or conserve ecologically important forest areas containing significant concentrations of: <p>a) protected, rare, sensitive or representative forest ecosystems such as riparian areas and wetland biotopes;</p> <p>b) areas containing endemic species and habitats of threatened species, as defined in recognised reference lists;</p> <p>c) endangered or protected genetic <i>in situ</i> resources;</p> <p>and taking into account</p> <p>d) globally, regionally and nationally significant large landscape areas with natural distribution and abundance of naturally occurring species.</p>	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 3.1 b • I 4.8 b • I 4.8 c • 4.7 SPM <ul style="list-style-type: none"> • 4B planning
5.4.3 Protected and endangered plant and animal species shall not be exploited for commercial purposes. Where necessary, measures shall be taken for their protection and, where relevant, to increase their population.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 3.1 b • GI 4.5 • I 4.5 a • I 4.8 c • I 5.3 a SPM NA
5.4.4 Forest management shall ensure successful regeneration through natural regeneration or, where not appropriate, planting that is adequate to ensure the quantity and quality of the forest resources.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 4.1 a • I 5.2 a SPM NA
5.4.5 For reforestation and afforestation, origins of native species and local provenances that are well-adapted to site conditions shall be preferred, where appropriate. Only those introduced species, provenances or varieties shall be used	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 4.1 a • I 4.2 a • I 4.2 b • I 4.2 c

whose impacts on the ecosystem and on the genetic integrity of native species and local provenances have been evaluated, and if negative impacts can be avoided or minimised.		SPM NA
5.4.6 Afforestation and reforestation activities that contribute to the improvement and restoration of ecological connectivity shall be promoted.	<input type="checkbox"/>	SFM Even if this point is not pertinent with the Italian situation (because of the natural reforestation occurring in Italy) indicators 4.3 a and 4.3 b are considering this topic. SPM NA
5.4.7 Genetically-modified trees shall not be used.	<input type="checkbox"/>	SFM • GI 2.3 SPM • 2C practices

Question	YES / NO*	Reference to scheme documentation
5.4.8 Forest management practices shall, where appropriate, promote a diversity of both horizontal and vertical structures such as uneven-aged stands and the diversity of species such as mixed stands. Where appropriate, the practices shall also aim to maintain and restore landscape diversity.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 4.3 a • I 4.3 b SPM NA
5.4.9 Traditional management systems that have created valuable ecosystems, such as coppice, on appropriate sites shall be supported, when economically feasible.	<input type="checkbox"/>	SFM This point is not pertinent with the Italian situation (see the description of it on Ita 1000 introduction) SPM NA
5.4.10 Tending and harvesting operations shall be conducted in a way that does not cause lasting damage to ecosystems. Wherever possible, practical measures shall be taken to improve or maintain biological diversity.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • GI 2.2 • I 3.1 b • 5.2 c SPM <ul style="list-style-type: none"> • 4B practices
5.4.11 Infrastructure shall be planned and constructed in a way that minimises damage to ecosystems, especially to rare, sensitive or representative ecosystems and genetic reserves, and that takes threatened or other key species – in particular their migration patterns – into consideration.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • GI 4.4 • I 4.4 a SPM NA
5.4.12 With due regard to management objectives, measures shall be taken to balance the pressure of animal populations and grazing on forest regeneration and growth as well as on biodiversity.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • GI 4.5 • I 4.5 a • I 4.5 b SPM NA
5.4.13 Standing and fallen dead wood, hollow trees, old groves and special rare tree species shall be left in quantities and distribution necessary to safeguard biological diversity, taking into account the potential effect on the health and stability of forests and on surrounding ecosystems.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 3.1 b • I 4.6 a • I 4.8 b • I 4.8 c SPM NA
Criterion 5: Maintenance and appropriate enhancement of protective functions in forest management (notably soil and water)		
5.5.1 Forest management planning shall aim to maintain and enhance protective functions of forests for society, such as protection of infrastructure, protection from soil erosion, protection of water resources and from adverse impacts of water such as floods or avalanches.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 3.1 b • I 5.1 a • I 5.1 b • I 5.2 a • GI 3.5 • I 3.5 b SPM <ul style="list-style-type: none"> • 5A planning
5.5.2 Areas that fulfil specific and recognised protective functions for society shall be registered and mapped, and forest management plans or their equivalents shall take these areas into account.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 3.1 b • I 4.8 b • I 5.1 a

		SPM <ul style="list-style-type: none"> • 5B planning
5.5.3 Special care shall be given to silvicultural operations on sensitive soils and erosion-prone areas as well as in areas where operations might lead to excessive erosion of soil into watercourses. Inappropriate techniques such as deep soil tillage and use of unsuitable machinery shall be avoided in such areas. Special measures shall be taken to minimise the pressure of animal populations.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 4.5 a • I 4.5 b • I 5.2 a • I 5.3 a SPM <ul style="list-style-type: none"> • 5A practices
5.5.4 Special care shall be given to forest management practices in forest areas with water protection functions to avoid adverse effects on the quality and quantity of water resources. Inappropriate use of chemicals or other harmful substances or inappropriate silvicultural practices influencing water quality in a harmful way shall be avoided.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 2.2 a • GI 2.3 • GI 2.4 • I 4.7 a SPM <ul style="list-style-type: none"> • 5B practices

Question	YES / NO*	Reference to scheme documentation
5.5.5 Construction of roads, bridges and other infrastructure shall be carried out in a manner that minimises bare soil exposure, avoids the introduction of soil into watercourses and preserves the natural level and function of water courses and river beds. Proper road drainage facilities shall be installed and maintained.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 3.5 a • I 3.5 b • GI 3.5 • I 5.2 b • I 5.2 c SPM NA
Criterion 6: Maintenance of other socio-economic functions and conditions		
5.6.1 Forest management planning shall aim to respect the multiple functions of forests to society, give due regard to the role of forestry in rural development, and especially consider new opportunities for employment in connection with the socio-economic functions of forests.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 3.2 a • GI 6.1 • I 6.1 a • I 6.2 a SPM NA
5.6.2 Forest management shall promote the long-term health and well-being of communities within or adjacent to the forest management area.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • GI 6.7 SPM NA
5.6.3 Property rights and land tenure arrangements shall be clearly defined, documented and established for the relevant forest area. Likewise, legal, customary and traditional rights related to the forest land shall be clarified, recognised and respected.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 6.3 a • I 6.5 a SPM <ul style="list-style-type: none"> • 6B planning
5.6.4 Forest management activities shall be conducted in recognition of the established framework of legal, customary and traditional rights such as outlined in ILO 169 and the UN Declaration on the Rights of Indigenous Peoples, which shall not be infringed upon without the free, prior and informed consent of the holders of the rights, including the provision of compensation where applicable. Where the extent of rights is not yet resolved or is in dispute there are processes for just and fair resolution. In such cases forest managers shall, in the interim, provide meaningful opportunities for parties to be engaged in forest management decisions whilst respecting the processes and roles and responsibilities laid out in the policies and laws where the certification takes place.		SFM and SPM All Fundamental ILO Conventions have been ratified by Italian Government http://www.ilo.org/ilolex/english/newratframeE.htm The United Nations Declaration on the Rights of Indigenous Peoples (UNDRIP) was adopted by the General Assembly on Thursday, 13 September 2007, with the positive vote of Italy. However Italy does not have Indigenous people in his country
5.6.5 Adequate public access to forests for the purpose of recreation shall be provided taking into account respect for ownership rights and the rights of others, the effects on forest resources and ecosystems, as well as compatibility with other functions of the forest.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 6.4 a SPM NA
5.6.6 Sites with recognised specific historical, cultural or spiritual significance and areas fundamental to meeting the	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> • I 4.6 a • I 6.5 a

<p>basic needs of local communities (e.g. health, subsistence) shall be protected or managed in a way that takes due regard of the significance of the site.</p>		
<p>5.6.7 Forest management operations shall take into account all socio-economic functions, especially the recreational function and aesthetic values of forests by maintaining for example varied forest structures, and by encouraging attractive trees, groves and other features such as colours, flowers and fruits. This shall be done, however, in a way and to an extent that does not lead to serious negative effects on forest resources, and forest land.</p>	<p>□</p>	<p>SPM NA</p> <p>SFM</p> <ul style="list-style-type: none"> • GI 3.4 • I 3.1 b • I 6.4 a • I 6.6 a • Ita 1000 introduction <p>SPM NA</p>

Question	YES / NO*	Reference to scheme documentation
<p>5.6.8 Forest managers, contractors, employees and forest owners shall be provided with sufficient information and encouraged to keep up-to-date through continuous training in relation to sustainable forest management as a precondition for all management planning and practices described in this standard.</p>	<input type="checkbox"/>	<p>SFM</p> <ul style="list-style-type: none"> • Gl 6.7 • I 6,7 a • I 6.7 b <p>SPM</p> <ul style="list-style-type: none"> • 6E planning
<p>5.6.9 Forest management practices shall make the best use of local forest-related experience and knowledge, such as those of local communities, forest owners, NGOs and local people.</p>	<input type="checkbox"/>	<p>SFM</p> <ul style="list-style-type: none"> • Ita 1000 2.2.1.1 – t • Ita 1000 2.2.1.2 - s • Ita 1000 2.2.1.3 – o <p>SPM</p> <ul style="list-style-type: none"> • 6A practices
<p>5.6.10 Forest management shall provide for effective communication and consultation with local people and other stakeholders relating to sustainable forest management and shall provide appropriate mechanisms for resolving complaints and disputes relating to forest management between forest operators and local people.</p>	<input type="checkbox"/>	<p>SFM</p> <ul style="list-style-type: none"> • Ita 1000 2.2.1.1 – t • Ita 1000 2.2.1.2 - s • Ita 1000 2.2.1.3 – o • Ita 1000 2.2.1.1 – w • Ita 1000 2.2.1.2 - w • Ita 1000 2.2.1.3 –s <p>SPM</p> <ul style="list-style-type: none"> • Ita 1004 2.2.1.1. n) 2.2.1.2 o) 5.2 (resolving disputes and complaints)
<p>5.6.11 Forestry work shall be planned, organised and performed in a manner that enables health and accident risks to be identified and all reasonable measures to be applied to protect workers from work-related risks. Workers shall be informed about the risks involved with their work and about preventive measures.</p>	<input type="checkbox"/>	<p>SFM</p> <ul style="list-style-type: none"> • I 6.8 a • I 6.8 b <p>SPM</p> <ul style="list-style-type: none"> • 6B practices Compulsory works safety legislation Dgls 81/2008
<p>5.6.12 Working conditions shall be safe, and guidance and training in safe working practices shall be provided to all those assigned to a task in forest operations.</p>	<input type="checkbox"/>	<p>SFM</p> <ul style="list-style-type: none"> • I 6.8 a • I 6.8 b <p>SPM</p> <ul style="list-style-type: none"> • 6B practices
<p>5.6.13 Forest management shall comply with fundamental ILO conventions.</p>		<p>SFM and SPM</p> <p>All Fundamental ILO Conventions have been ratified by Italian Government</p> <p>http://www.ilo.org/ilolex/english/newratframeE.htm</p>
<p>5.6.14 Forest management shall be based inter-alia on the results of scientific research. Forest management shall</p>	<input type="checkbox"/>	<p>SFM</p> <ul style="list-style-type: none"> • Ita 1000 introduction

contribute to research activities and data collection needed for sustainable forest management or support relevant research activities carried out by other organisations, as appropriate.		SPM See Ita 1000 introduction
Criterion 7: Maintenance and appropriate enhancement of protective functions in forest management (notably soil and water)		
5.7.1 Forest management shall comply with legislation applicable to forest management issues including forest management practices; nature and environmental protection; protected and endangered species; property, tenure and land-use rights for indigenous people; health, labour and safety issues; and the payment of royalties and taxes.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> Ita 1001-1 introduction
		SPM <ul style="list-style-type: none"> ITA 1004 2.1
5.7.2 Forest management shall provide for adequate protection of the forest from unauthorised activities such as illegal logging, illegal land use, illegally initiated fires, and other illegal activities.	<input type="checkbox"/>	SFM <ul style="list-style-type: none"> 2.2a
		SPM NA