

Development Report

2019-09-17

Revision of PEFC ST 2001:2008, v2 and PEFC ST 2002:2013



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Contents

1.	Introduction.....	3
2.	Scope of the revision process and this report.....	3
3.	Governance of the revision process	3
4.	Revision process	4
4.1	Summary	4
4.2	The process in detail	5
	Appendix 1: Timeline of the revision process.....	7
	Appendix 2: Working group composition.....	8

Documents available on request:

- Minutes of WG meetings
- WG comments on Working Drafts
- Comments from Public Consultation
- Draft standards (Working Drafts, Enquiry Draft, Final Draft)
- Document highlighting changes from PEFC ST 2001:2008, v2 to ST 2001:201X ED, and from PEFC ST 2002:2013 to PEFC ST 2002:201X ED

1. Introduction

The PEFC International Logo Use Rules (now renamed to Trademark Rules), PEFC ST 2001:2008, v2, *PEFC Logo Usage Rules – Requirements*, were approved by the PEFC GA on 26th November 2010, following a revision of Annex 5 of the PEFC Technical Document.

The PEFC International Chain of Custody (CoC), PEFC ST 2002:2013, *Chain of Custody of Forest Based Products – Requirements*, was approved by the PEFC General Assembly (PEFC GA) on 23rd May 2013, following a revision of PEFC ST 2002:2010, in order to align this standard with EU Timber Regulation (EUTR).

In October 2015 the PEFC Board of Directors (PEFC Board) approved the periodic review and revision of these two standards as part of the comprehensive PEFC Standard Revision Process 2016-2018, based on project proposals prepared by the PEFC Council's Secretariat (Secretariat).

Following a review by the PEFC Chain of Custody and Trademark Working Group (WG) and a public consultation in the second half of 2016, the WG started the revision process in early 2017.

In the subsequent revision process, the WG developed several Working Drafts (WDs) of both standards as well as an Enquiry Draft (ED) of each document, which was subject to a 60 day public consultation.

In September 2019 the WG found consensus to recommend a Final Draft (FD) of each standard to the Board for recommendation for approval to the PEFC GA.

2. Scope of the revision process and this report

The scope of the revision work covered the revision of the PEFC Logo Usage Rules (PEFC ST 2001:2008,v2) and the PEFC International Chain of Custody Standard (PEFC ST 2002:2013), as well as the Chain of Custody Guidance Document (PEFC GD 2001:2014) as set out in the project proposal.

The revision process followed the requirements of PEFC GD 1003:2009, *PEFC Council technical documents development procedures*.

This report provides the evidence of compliance with PEFC GD 1003:2009 for the development of the final drafts of PEFC ST 2001:201X and PEFC ST 2002:201X is based on PEFC GD 1003:2009, chapter 5.6.1.

3. Governance of the revision process

The following organisational arrangements applied for the revision of PEFC ST 2001 and PEFC ST 2002:

The WG was the responsible body to conduct the revision with the aim to establish consensus amongst a balanced representation of stakeholders in the WG from the following sectors:

- a) Certified PEFC scheme users: forest owners and managers; forest based industry (processing and trade)
- b) Uncertified PEFC scheme users: PEFC scheme assessors; certification bodies; accreditation bodies; consultants
- c) Customers and consumers: retailer organizations; consumer organizations; institutional consumers of forest based products, including governments

- d) Civil society: science, environmental, social and other interest groups
- e) PEFC National Governing Body members

The list of the current WG members as of the date of this report is included in Appendix 1.

The Secretariat managed the revision process by:

- preparing and organising the WG meetings,
- preparing agenda of the meetings and associated documentation, including records of the meetings and decisions,
- performing analytical work,
- organising the member consultation, and
- regular communication on the progress of the work.

The Board fulfils the following functions in the process:

- approval of the project proposal,
- establishment and dissolution of the WG, and
- recommendation for approval of the final draft to the GA.

4. Revision process

4.1 Summary

- Appendix 1 gives an overview about the composition of the WG.
- Appendix 2 provides an overview about the timeline of the revision process.
- Between June 2016 and September 2019 the WG met eight times in person and once in a conference call.
- The WG developed six WDs of the Trademark Rules and seven WDs of the CoC standard, as well as one enquiry draft and one final draft of each document.
- The Secretariat organised a public consultation on the EDs of both standards from 10th May 2019 to 9th July 2019.
- During the public consultation, 82 respondents submitted 548 comments on the CoC ED, and 117 comments on the Trademark Rules ED. All comments were considered by the WG.
- The WG reached consensus on an FD of both documents on 12th September 2019. Minutes of all WG meetings are available on request.
- The Secretariat submitted a FD of PEFC ST 2001:201X and PEFC ST 2002:201X to the Board for its meeting on 3rd&4th October 2019, for consideration of recommendation of approval to the PEFC GA in November 2019.

- Documents explaining the main changes in the EDs as compared to the standards currently in place is available on request.

4.2 The process in detail

Proposal stage (September 2015 - October 2015)

- The Secretariat prepared a project proposal, describing scope, basic approach and organisation of the revision process.
- The Board approved the project proposal on 8 October 2015.

Preparatory stage (June 2016)

- The PEFC Chain of Custody and Trademark Working Group is a standing WG and was already in place at the beginning of the revision process.
- The Secretariat conducted a four week public consultation from 3rd June to 4th July 2016 to gather input from stakeholders on the current CoC and Trademark requirements. In this consultation, 33 respondents submitted 88 comments.

Working group stage 1 – Enquiry draft (July 2016 – May 2019)

- The WG met seven times in person in WG stage 1:
 - 1) 13&14 July 2016, Geneva, Switzerland
 - 2) 25&26 January 2017, Geneva, Switzerland
 - 3) 5&6 April 2017, Lausanne, Switzerland
 - 4) 29&30 August 2017, Geneva, Switzerland
 - 5) 24&25 January 2018, Geneva, Switzerland
 - 6) 19&20 September 2018, Geneva, Switzerland
 - 7) 27&28 March 2019, Geneva, Switzerland
- The WG developed five Working Drafts of the Trademark Rules and six Working Drafts of the CoC standard before it found consensus on Enquiry Drafts of both documents during its seventh meeting.

Enquiry stage (May 2019 – July 2019)

- The PEFC ST 2001:201X, ED and PEFC ST 2002:201X, ED were published for public consultation from 10th May to 9th July 2019. The public consultation was announced on the [PEFC website](#) and through the PEFC Newsletter. Stakeholders were invited to participate in the public consultation by email.
- The Secretariat held three webinars to present the major changes, on 29th May, 3rd June and 3rd July 2019. 159 stakeholders participated in these webinars and 50 questions submitted during them were answered by the Secretariat and published on the [PEFC website](#).
- During the public consultation, 82 respondents submitted a 117 comments on the Trademark Rules and 548 comments on the CoC standard.
- The Secretariat reviewed the comments, made proposals on how to consider every comment and then requested the WG on 14th August 2019 to comment on

these proposals, and especially to point out any objections, by 5th September 2019.

Working group stage 2 – Final draft (August – September 2019)

- At its eighth meeting on 10th-12th September 2019 in Geneva, Switzerland, the WG considered all comments received in from the WG on comments submitted in the public consultation and responses proposed by the Secretariat. At this meeting, the WG found consensus on a Final Draft of the revised Trademark Rules and CoC standard to be submitted to the Board, with a recommendation to recommend it to PEFC GA for approval.

Approval stage (September - November 2019)

- The Secretariat submitted the Final Drafts of the revised Trademark Rules and CoC standard to the PEFC Board on 19th September for consideration at its meeting on 3rd & 4th October 2019.
- *[Should the Board decide to recommend the approval of both revised documents to the PEFC GA, it will be submitted to the PEFC GA on 16th October for voting on 13th November 2019.]*

Appendix 1: Timeline of the revision process

		15	2016				2017				2018				2019			
		IV	I	II	III	IV	I	II	III	IV	I	II	III	IV	I	II	III	IV
Proposal stage	Project proposal development																	
	Approval by BoD																	
WG stage	Working group meetings																	
Enquiry stage	Public and members consultation																	
	Direct consultation with stakeholders																	
WG stage	Processing comments																	
	WG meeting – consensus final draft																	
Approval stage	Board meeting																	
	General Assembly																	
Publication stage	Document publication																	

Appendix 2: Working group composition

Title	First Name	Last Name	Organisation	Stakeholder Group
Mrs.	Cassio Bryan Ara	Phillips Hulka Erickson	Weyerhaeuser Company	A - Forest industries
Mr.	Pekka	Kallio-Mannila	Stora Enso	A - Forest industries
Mr.	Henry	Krueger	CEPI	A - Forest industries
Mr.	Hans	Grieshofer	Austropapier	A - Forest industries
	VACANT			A - Forest industries
Mrs.	Julia	Griffin	B&Q	B - Customers and consumers
Mr.	Richard	Watkins	EnviroSense	B - Customers and consumers
Mrs.	Juanita	Day	Independent consultant	B - Customers and consumers
	VACANT			B - Customers and consumers
	VACANT			B - Customers and consumers
Mr.	Fabiola Jin Sook	Mieres Lee	BWI	C - Other stakeholders
Mr.	Christian	Kobel	SGS	C - Other stakeholders
Mr.	Rafa Alasdair Holly	Andruszkiewicz-McGregor Booker	EXOVA BM TRADA	C - Other stakeholders
Mr.	Muhammad	Diendy Meuthady	APP	C - Other stakeholders
	VACANT			C - Other stakeholders
Mrs.	Ines	Costa Luz	PEFC Portugal	D - PEFC NGBs
Mr.	Rajan	Samikannoo	MTCC	D - PEFC NGBs
Mr.	Jason	Metnick	SFI Inc.	D - PEFC NGBs
Mrs.	Marta	Salvador	PEFC Spain	D - PEFC NGBs
Mr.	Paul-Emmanuel	Huet	PEFC France	D - PEFC NGBs
Mr.	Bill VACANT	Street	Independent	Chairman of the WG
Mr.	<i>Giovanni</i>	<i>Tribiani</i>	<i>PEFC Italy</i>	<i>OBSERVER</i>
Mr.	<i>Alun</i>	<i>Watkins</i>	<i>PEFC UK</i>	<i>OBSERVER</i>
Mr.	<i>Magnus</i>	<i>Norrby</i>	<i>Nordic PEFC NGBs</i>	<i>OBSERVER</i>
Mr.	<i>Hubert</i>	<i>Palus</i>	<i>PEFC Slovakia</i>	<i>OBSERVER</i>
Mr.	<i>Dirk</i>	<i>Teegelbekkers</i>	<i>PEFC Germany</i>	<i>OBSERVER</i>