

Development Report

2018-08-23

Revision of PEFC ST 1003:2010



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Contents

1.	Introduction.....	3
2.	Scope of the revision process and this report.....	3
3.	Governance of the revision process	3
4.	Revision process	4
4.1	Summary	4
4.2	The process in detail	4
	Appendix 1: Timeline of the revision process of ST 1003	6
	Appendix 2: Working group composition.....	7

Documents available on request:

- Minutes of WG meetings
- WG comments on Working Drafts 3 and 4
- Comments from Public Consultation
- Draft standards (Working Drafts 1,2,3,4, Enquiry Draft, Final Draft)
- Document highlighting changes between PEFC ST 1003:2010 and PEFC ST 1003:201X FD

1. Introduction

In November 2010 the PEFC General Assembly (the GA) approved PEFC ST 1003:2010, *Sustainable Forest Management – Requirements*, as international standard, replacing Annex 3 of the PEFC Technical Document.

The revision process started on 26 January 2016, based on the project paper “*Project Proposal - Revision of the PEFC Council’s requirements for Sustainable Forest Management*”, which was adopted by the PEFC Council Board of Directors (the Board) on 8 October 2015.

2. Scope of the revision process and this report

The scope of the revision work covered the revision of the requirements for the forest management standards of PEFC National Governing Bodies (NGB) seeking PEFC endorsement and mutual recognition of their system as set out in the project proposal.

The revision process followed the requirements of PEFC GD 1003:2009, *PEFC Council technical documents development procedures*.

This report provides the evidence of compliance with PEFC GD 1003:2009 for the development of the final draft of PEFC ST 1003:201X and is based on PEFC GD 1003:2009 chapter 5.6.1.

3. Governance of the revision process

The following organisational arrangements applied for the revision of PEFC ST 1003:2010:

A working group (WG or WG1) was established in early 2016 to lead the revision with the aim of obtaining consensus amongst a balanced representation of stakeholders from the following sectors:

- a) Civil society
- b) Conformity assessment community
- c) End users – customers and consumers
- d) Materially affected certifiable stakeholders
- e) PEFC Members

The list of the current WG members as of the date of this report is included in Appendix 1.

The PEFC Council Secretariat (the Secretariat) was managing the revision process by:

- preparing and organising the WG meetings,
- preparing agenda of the meetings and associated documentation, including records of the meetings and decisions,
- performing analytical work,
- organising the member consultation, and
- regular communication on the progress of the work.

The Board fulfilled the following functions in the process:

- approval of the project proposal,
- establishment and dissolution of the WG, and
- recommendation for approval of the final draft to the GA.

4. Revision process

4.1 Summary

- Appendix 2 provides an overview of the revision process timeline.
- Based on nominations received in February 2016, the Board established WG1 in April 2016. Appendix 1 provides the composition of the working group.
- Between May 2016 and July 2018 the WG met six times in person.
- The WG developed six draft documents versions: four working drafts, one enquiry draft, and one final draft.
- The Secretariat organised a public consultation on the enquiry draft version from 27 April 2018 to 26 June 2018.
- During the public consultation 58 respondents submitted a total of 889 comments. All comments were considered by the WG.
- The WG reached consensus on the final draft 27 July 2018. Minutes of all WG meetings are included in Appendix 3.
- The Secretariat submitted a final draft version of PEFC ST 1003:201X to the Board for its meeting on 4 October 2018, for consideration of recommendation of approval to the GA on 14 November 2018.
- The main changes in the final draft as compared to PEFC ST 1003:2010 are explained in Appendix 4.

4.2 The process in detail

Proposal stage (September 2015 - October 2015)

- The Secretariat prepared a project proposal, describing scope, basic approach and organisation of the revision process.
- The project proposal was approved by the Board on 8 October 2015.

Preparatory stage (January 2016 - April 2016)

- A stakeholder mapping was conducted for all WG's in the 2016-2017 standard revision process which identified over 7000 contacts.
- A mass mailing with an invitation to nominate participants for the various WG's was sent to the identified stakeholders on 26 January 2016 together with an

article published on the PEFC website: <https://pefc.org/standards-revision/new/2103-making-the-best-even-better-pefc-revises-core-standards>

- The Secretariat received 62 nominations for WG1. Based on the selection criteria, 35 nominations were honoured.
- The list with final WG members is included in Appendix 1.

Working group stage 1 – Enquiry draft (May 2016 – March 2018)

- The WG met five times in WG stage 1:
 - 1) 9-11 May 2016, Geneva, Switzerland
 - 2) 12-14 October 2016, Geneva, Switzerland
 - 3) 27-29 March 2017, Geneva, Switzerland
 - 4) 4-6 September 2017, Hamburg, Germany
 - 5) 21-23 March 2018, Geneva, Switzerland
- The WG developed four working draft (WD) versions before it found consensus on an enquiry draft version of PEFC ST 1003:201x.
- In two WG consultations the WG submitted 211 comments on WD3 and 138 comments on WD4
- At its fifth meeting the WG find consensus on an enquiry draft version of the PEFC ST 1003:201X for public consultation

Enquiry stage (April 2018 – June 2018)

- The enquiry draft version of PEFC ST 1003:201X was published for public consultation from 27 April to 26 June 2018. The public consultation was announced on the PEFC website and through the PEFC Newsletter. All stakeholders identified in the stakeholder mapping were invited to participate in the public consultation by email.
- On 12 and 14 June 2018 the Secretariat held webinars to present the major changes introduced in the enquiry draft, and on 13 June 2018 a webinar was held specifically on the topic of Trees outside Forests (TOF).
- During the public consultation, 58 respondents submitted 889 comments.

Working group stage 2 – Final draft (July 2018)

- At its sixth meeting on 25-27 July 2018 in Geneva, Switzerland, the WG considered all comments received in the public consultation and found consensus on a final draft version of PEFC ST 1003:201x.

Appendix 1: Timeline of the revision process of ST 1003

		2015	2016				2017				2018			
		IV	I	II	III	IV	I	II	III	IV	I	II	III	IV
Proposal stage	Project proposal development	█												
	Approval by BoD	█												
Preparatory stage	Public announcement		█											
	Call for WG nominations		█	█										
WG stage	Working group establishment			█	█									
	Working group meetings				█		█			█			█	
Enquiry stage	Public and members consultation											█	█	
	Direct consultation with stakeholders											█	█	
WG stage	Processing/consideration of comments												█	
	WG meeting – consensus on a final draft												█	
Approval stage	Board meeting													█
	General Assembly													█
Publication stage	Document publication													█

Appendix 2: Working group composition

First Name	Last Name	Organisation	Stakeholder Group	Country
Manuel	Bertomeu	Universidad de Extremadura	Civil Society	Western Europe; Spain
Andreas W.	Bitter	Technical University of Dresden	Civil Society	Germany
Estebancio	Castro Diaz	International Alliance of Indigenous and Tribal Peoples of the Tropical Forests	Civil Society	Panama
Eglantine	Goux-Cottin	NGO Platform	Civil Society	Western Europe
Martin	Greijmans	RECOFTC - The Center for People and Forests	Civil Society	Asia
Melvin	Gumal	Wildlife Conservation Society - Malaysia Program	Civil Society	Malaysia/ International
Fatimah	Mohammad	Union of Forestry Department Employees Sarawak (UFES)	Civil Society	Malaysia

First Name	Last Name	Organisation	Stakeholder Group	Country
Daniela	Vilela	IPEF - Forestry Science and Research Institute	Civil Society	South America; Brazil
Gabriel	Coimbra Rafael			
Roderick	Zagt	Tropenbos International	Civil Society	Netherlands
Manuelle	Lera	Cofrac	Conformity Assessment Community	France
Manuel	Ceja	The Procter & Gamble Company	End Users - Customers & Consumers	United States
Rolf	Huwylar	Mc Donald's Corporation	End Users - Customers & Consumers	Switzerland
Jim	Antturi	Finnish Forest Industries Federation	Materially Affected Certifiable Stakeholder	Finland
Tiina	Vuoristo			
Chinnarat	Boonchu	DoubleA1991 Public Co Limited	Materially Affected Certifiable Stakeholder	Thailand

First Name	Last Name	Organisation	Stakeholder Group	Country
Jimmy	Bullock	Resource Management Service, LLC	Materially Affected Certifiable Stakeholder	United States
Jorge	Cunha	Forestis - Forestry Association of Portugal	Materially Affected Certifiable Stakeholder	Portugal
John	Dunford	Tolko Industries Ltd.	Materially Affected Certifiable Stakeholder	Canada
Stanislav	Janský	Association of Municipal and Private Forest Owners in the Czech Republic (SVOL)	Materially Affected Certifiable Stakeholder	Czech Republic
Lea	Jylhä	Central Union of Agricultural Producers and Forest Owners, MTK	Materially Affected Certifiable Stakeholder	Western Europe
Leonardo	Martins	Suzano	Materially Affected Certifiable Stakeholder	South America
Dian	Novarina	Asia Pacific Resources International Limited (APRIL)	Materially Affected Certifiable Stakeholder	Indonesia
Sera	Noviany	Sinarmas Forestry-Asia Pulp & Paper	Materially Affected Certifiable Stakeholder	Asia

First Name	Last Name	Organisation	Stakeholder Group	Country
Antti	Otsamo	Metsähallitus	Materially Affected Certifiable Stakeholder	Finland
Inga	Spalvena	Latvia's State Forests	Materially Affected Certifiable Stakeholder	Latvia
Suzette	Weeding	Australian Forestry Standard	Materially Affected Certifiable Stakeholder	Australia
Lu	Wenming	CFCC	PEFC National Governing Body Members	China
Isaac	Bindzi	PAFC Cameroon	PEFC National Governing Body Members	Cameroon
Sachin Raj	Jain	Network for Certification and Conservation of Forests (NCCF)	PEFC National Governing Body Members	India
Paul- Emmanuel	Huet	PEFC France	PEFC National Governing Body Members	France
Siti Syaliza	Mustapha	Malaysian Timber Certification Council	PEFC National Governing Body Members	Malaysia

First Name	Last Name	Organisation	Stakeholder Group	Country
Ana Belén	Noriega Bravo	PEFC Spain	PEFC National Governing Body Members	Spain
Joseph	Osei	Working Group on Forest Certification, Ghana	PEFC National Governing Body Members	Ghana
Paula	Salazar	PEFC Portugal	PEFC National Governing Body Members	Portugal
Karin	Vestlund Ekerby	Svenska PEFC ek för - PEFC Sweden	PEFC National Governing Body Members	Sweden
Boris	Barov	BirdLife International	OBSERVER	United Kingdom
Gerry	Lawson	Research Fellow, Centre for Ecology and Hydrology (NERC), Edinburgh Executive Committee Member, European Agroforestry Federation (EURAF)	OBSERVER	United Kingdom